

# Idiopathic pulmonary fibrosis in infants: good prognosis with conservative management

Doug Hacking, Rosalind Smyth, Nigel Shaw, George Kokia, Helen Carty, David Heaf

## Abstract

**Background**—Pulmonary interstitial fibrosis in children is a disease of unknown aetiology, usually associated with a poor prognosis.

**Methods**—In this case series we describe 11 children presenting over a 10 year period, managed conservatively and associated with a good prognosis.

**Results**—In six, symptoms were present from birth and 10 had symptoms at or before 3 months. Diagnosis was made using chest computed tomography and percutaneous lung biopsy. All patients were treated with oral prednisolone. In five no steroid response was noted. One patient responded to hydroxychloroquine. Home oxygen was required in five patients. At follow up all patients are alive at a median age of 6 years (range 1 to 12 years). The two recently diagnosed children have significant symptoms, seven have dyspnoea on exercise, and two are symptom free.

**Conclusion**—The good prognosis seen in these patients is different to previous case reports, indicating a greater than 50% mortality.

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Keywords: idiopathic; pulmonary fibrosis

Pulmonary interstitial fibrosis in children is a heterogeneous group of progressive lung disorders characterised by a pattern of inflammation and subsequent interstitial fibrosis that predominantly affects the alveolar walls and perialveolar structures.<sup>1</sup> In a minority of cases interstitial lung fibrosis develops as a result of a known insult to the lung such as infections, drugs, environmental inhalants, and autoimmune diseases. Most cases of pulmonary fibrosis have no associated aetiology and are classified by histological features which include usual interstitial pneumonitis (UIP), desquamate interstitial pneumonitis (DIP), lymphocytic interstitial pneumonitis (LIP), and bronchiolitis obliterans with organising pneumonia (BOOP). Idiopathic pulmonary fibrosis in children is rare and poorly understood. The prognosis in infancy is thought to be poor<sup>2-4</sup>; a recent case series<sup>2</sup> recorded nine deaths out of ten patients. The authors suggested that a delay in diagnosis might have contributed to the poor prognosis. We report our experience over the past 12 years treating 11 infants with the diagnosis of idiopathic pulmonary fibrosis, all of whom have survived to a median age of 6 years (range 1 to 12 years).

## Materials and methods

### PATIENTS

Eleven patients (eight boys and three girls) presented to the Regional Respiratory Unit of the Royal Liverpool Children's Hospital at Alder Hey between 1986 and 1997. Median age at presentation was 5 months (range birth to 16 months). The diagnosis was made by typical clinical findings (such as dyspnoea and dry cough) in association with the radiological finding of diffuse interstitial infiltrate. The diagnosis was confirmed by histology on samples obtained by percutaneous lung biopsy<sup>3</sup> in all but one case.

The patient hospital and general practice medical records were scrutinised for the time and nature of the first respiratory symptoms, the date of this first medical consultation, and the presenting complaint. Details of the pregnancy, perinatal history, family history, the age at which the child was referred to the Regional Respiratory Unit, and age of diagnosis were also noted.

### INVESTIGATIONS

All patients underwent physical examination including assessment of growth and development, full blood count, blood electrolytes, liver function tests, and coagulation screen. Other investigations included immunoglobulins, viral serological, sweat test, autoantibodies,  $\alpha_1$  anti-trypsin, lymphocyte CD4 to CD8 ratios, skin allergy testing, upper gastrointestinal contrast studies, radioisotope milk scans, and echocardiograms. Assessment of hypoxia included either random pulse oximetry oxygen saturation or overnight oxygen saturation studies. Patients old enough to comply had their respiratory function (peak flow (PEF), forced expiratory volume in one second (FEV<sub>1</sub>), and forced vital capacity (FVC)) measured at least annually. Radiological investigations on all patients included standard anterior posterior chest radiographs; all images since 1995 have been taken with computer digital enhancement, and computerised tomography was performed under general anaesthesia. Bronchoscopy and bronchoalveolar lavage was carried out in one child using a well recognised protocol.<sup>6</sup>

### PERCUTANEOUS LUNG BIOPSY

Histological samples of the lung were obtained by fluoroscopically guided percutaneous needle biopsy using the standard described technique.<sup>5</sup> The procedure was performed while the patient was ventilated under general anaesthesia. The biopsy site selected was the lung area of maximum infiltration that was furthest from major vessels and any cystic

Neonatal Unit, Royal Children's Hospital, Flemington Road, Melbourne, Australia  
D Hacking

Respiratory Unit, Royal Liverpool Children's NHS Trust, Alder Hey Children's Hospital, Eaton Road, Liverpool, UK  
R Smyth  
N Shaw  
D Heaf

Pathology Department, Royal Liverpool Children's NHS Trust  
G Kokia

Department of Radiology, Royal Liverpool Children's NHS Trust  
H Carty

Correspondence to:  
Dr D Hacking, Paediatric Specialist Registrar, Department of Paediatrics, Wexham Park Hospital, Wexham, Slough, Berks SL2 4HL, UK  
email:  
hackandmack@hwcdial.net

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Table 1 Patient details

	Year of presentation	Birth weight and gestation	Sex and current age	Onset of symptoms	Age at presentation	Age at biopsy
1	1987	3.22 kg Term 50th centile	Female 12 years	10 weeks	7 months	3.5 years
2	1987	3.8 kg Term 90th centile	Male 11 years	Birth	3 months	2.5 years
3	1988	3.2 kg Term 10th centile	Male 11 years	Birth	5 weeks	7 weeks
4	1989	1.8 kg 34 weeks 50th centile	Male 10 years	4 weeks	9 weeks	10 weeks
5	1990	2.2 kg Term 10th centile	Male 9 years	Birth	5 months	6 months
6	1992	1.68 kg 34 weeks 50th centile	Male 7 years	3 months	9 months	9 months
7	1993	1.24 kg 28 weeks 50th centile	Male 6 years	Birth	Birth	9 months
8	1994	1.42 kg 33 weeks 3rd centile	Male 6 years	2 months	16 months	4 years
9	1995	3.31 kg Term 50th centile	Male 4 years	Birth	5 months	No histology
10	1995	3.5 kg Term 50th centile	Female 4 years	5 months	6 months	6 months
11	1997	3.31 kg Term 50th centile	Female 2 years	Birth	9 months	10 months

areas identified on computed tomography (CT) scanning. Between one and two samples were taken on each occasion. No major complications occurred after the procedure. All patients remained well. The biopsy had to be repeated on one patient because of insufficient lung sample. One biopsy sample (patient 9) on further histological review was felt to unhelpful as it contained insufficient lung tissue.

#### HISTOLOGICAL ANALYSIS

All tissue samples were processed routinely for standard histological investigation (formalin fixed, paraffin embedded) and stained by the

routine battery of staining methods including haematoxylin and eosin, PAS, van Giesson, Masson Trichrome, and Prussian stain (for iron). In six cases touch imprints were made from the fresh tissue cores and stained by Giemsa and haematoxylin and eosin method. Electron microscopic investigation was not performed in any of the cases. Immunohistochemistry (monoclonal antibody S100) was applied in only two cases (patients 2 and 5) in order to differentiate the mononuclear cells from the Langerhans cells in the chronic inflammatory infiltrate.

## Results

### PATIENT CHARACTERISTICS

Table 1 gives patient details and table 2 treatment response. The median age of first respiratory symptoms was at birth (range: birth to 5 months). Six were symptomatic at birth with 10 of the 11 presenting at or before 3 months of age. The most common presenting sign was tachypnoea associated with rib indrawing, occurring in nine cases. Four had significant cough while only one patient had symptoms of wheeze at presentation. The median time between first onset of symptoms and referral to our department was 18 weeks (range: birth to 14 months). The median age of diagnosis was 9 months (range: 7 weeks to 4 years). The median time taken for the diagnosis to be confirmed by biopsy (in 10 of the 11 patients) after referral was four weeks (range: 1 week to 3 years). Patient 9 had the diagnosis of fibrosing alveolitis made on clinical and radiological grounds as the lung biopsy contained insufficient lung tissue. At presentation at 9 weeks of age, patient 4 had increased interstitial shadowing of both lungs on a chest radiograph. A CT scan performed on the same day was suggestive of pulmonary fibrosis which was confirmed by biopsy one week later. A significant delay between the referral and final diagnosis was seen in three patients (1, 2, and

Table 2 Patient treatment and response

Patient	Sex and current age	Weight at presentation	Current weight and height	Treatment	Current symptoms
1	Female 11 years	6.56 kg Below 10th centile	Weight 3rd centile Height 25th centile	Prednisolone continued for 6 months	Exercise limitation
2	Male 10 years	5.58 kg Below 50th centile	Weight 50th centile Height 50th centile	Prednisolone continued for 1 year and 3 months	Exercise limitation
3	Male 10 years	3.57 kg Below 3rd centile	Weight 3rd centile Height 3rd centile	Prednisolone continued for 2 years and 9 months	Exercise limitation
4	Male 9 years	4.2 kg 50th centile	Weight 50th centile Height 50th centile	Prednisolone trial for 3 months only	Well
5	Male 8 years	5.8 kg 3rd centile	Weight 90th centile Height 90th centile	Prednisolone continued for 6 years and 3 months, home oxygen	Exercise limitation, nocturnal oxygen
6	Male 6 years	6.5 kg Below 3rd centile	Weight 50th centile Height 50th centile	Prednisolone continued for 3 years and 3 months	Well
7	Male 5 years	1.24 kg 50th centile	Weight 50th centile Height 50th centile	Prednisolone trial for 3 months only, home oxygen	Exercise limitation
8	Male 5 years	10.18 kg Below 50th centile	Weight 50th centile Height 50th centile	Prednisolone trial for 3 months only	Exercise limitation
9	Male 3 years	4.25 kg Below 3rd centile	Weight 3rd centile Height 10th centile	Prednisolone trial for 3 months only, home oxygen	Exercise limitation
10	Female 3 years	5.7 kg Below 3rd centile	Weight 3rd centile Height 10th centile	Prednisolone continued for 4 months, home oxygen	Oxygen dependent
11	Female 18 months	5.56 kg Below 3rd centile	Weight 3rd centile Head circumference 10th centile	Prednisolone for 3 months only, home oxygen, chloroquine	Oxygen dependent

Table 3 Pulmonary function

Patient	1	2	3	4	5	6	Mean age
Age (y) 1st RFT	5	5	5	4	5	4	4 years and 7 months
Age (y) last RFT	8	7	8	7	7	6	7 years
							Mean RFT
1st FVC	74%	55%	50%	88%	47%	75%	65%
Last FVC	66%	59%	57%	80%	59%	99%	70%
1st FEV <sub>1</sub>	79%	37%	37%	66%	49%	85%	59%
Last FEV <sub>1</sub>	63%	34%	30%	76%	46%	82%	55%
1st peak flow	97%	43%	55%	58%	65%	101%	70%
Last peak flow	66%	34%	36%	62%	51%	85%	56%

Values in per cent predicted for height.<sup>8</sup>

RFT, respiratory function test.

8). This was because at presentation each had mild symptoms of bronchial reactivity and no evidence of hypoxia. Prior to referral for investigation, five of the 11 patients were thought to have recurrent lower respiratory tract infections. Patient 2 was thought to have tracheal stenosis. Patient 5 had right heart failure secondary to pulmonary hypertension and patient 7 was thought to have Wilson–Mikity syndrome. At the time of referral, seven of the 11 patients were failing to thrive, defined as a weight below the 10th centile.

#### PREGNANCY, PERINATAL, AND FAMILY HISTORY

Seven of the infants were born at term after a normal pregnancy. Five term infants experienced symptoms from birth, of whom two required oxygen in the first few days of life. Four patients were born preterm; three required oxygen in the first week of life. Patient 11 was one of twins. On antenatal scan at 20 weeks of gestation she was noted to have intrauterine growth retardation. The other twin was born at a normal weight and has been well throughout. There was no familial history of fibrosing alveolitis or lung fibrosis in any of the patients.

#### DIAGNOSIS AND INVESTIGATION

All patients in the study showed evidence of diffuse bilateral interstitial shadowing on chest radiographs. On CT scan in nine patients the interstitial fibrosis was widely disseminated throughout both lungs. Patient 1 had fibrosis that on first CT scan was located only in the right middle lobe, while patient 4 had bilateral fibrosis localised to the middle and lower regions of the lung. In patient 8, these changes evolved over a two year period. Evidence of bullae was noted on CT scan in three cases. Investigations were performed to exclude cystic

fibrosis, viral respiratory infections, autoimmune disease, gastro-oesophageal reflux and aspiration, and immunodeficiency. Echocardiography where requested was normal in all cases apart from patients 5 and 10. Patient 5 presented with pulmonary hypertension and mild right ventricular heart failure that had resolved when subsequently investigated. Patient 10 was found to have a small and clinically insignificant foramen ovale. The bronchoalveolar lavage performed on patient 8 showed a cell count which was consistent with the histological diagnosis of fibrosing alveolitis (total cell count was raised and there was an increase in the percentage number of neutrophils<sup>2 7</sup>).

Respiratory function tests were performed in all children old enough to comply (see table 3). Restrictive pulmonary function was seen in all patients. There was no improvement in percent predicted lung function in the three year period between first and latest testing.

#### HISTOLOGY

All patients had a percutaneous lung biopsy performed. Patient 9 was excluded from the histological analysis as his biopsy sample did not contain sufficient lung tissue. All histological sections were reviewed by one pathologist (GK) and assessed for microscopic diagnostic features of idiopathic pulmonary fibrosis. These included:

- Lesions within alveolar septa such as oedema, fibrosis, elastic fibres
- The cellular contents of the alveolar septa: type 2 pneumocytes, lymphocytes, monocytes, fibroblasts, and polymorphonuclear cells
- The character of cellular content (lymphocytes, macrophages, polymorphonuclear cells) in alveolar lumina.

Table 4 summarises these findings.

Among a variety of lesions the most constant and striking histopathological changes were interstitial fibrosis, hyperplasia of type 2 pneumocytes, and interstitial inflammatory infiltrate. The intensity of the interstitial fibrosis was considerable in only two samples (patients 2 and 11), moderate in five, and mild in three. Hyperplasia of type 2 pneumocytes was considerable in one (patient 11), moderate in one, mild in six, and of only borderline intensity in two biopsy samples (patients 4 and 5). Chronic mononuclear interstitial inflammatory infiltrate consisting of lymphocytes and macrophages, was evident in

Table 4 Summary of histopathological findings of lung biopsies

Patient no.	Alveolar septa							Alveolar lumen		
	Oedema	Fibrosis	Elastic fibres	Pneumocytes	Lymphocytes	Macrophages	Granulocytes	Fibroblasts	Lymphocytes	Macrocytes
1	-	+	+	++	++	+	-	+	-	+
2	+	+++	++	+	+	+	+	++	+	+
3	+	++	+	+	+	-	+	+	-	+
4	+	+	+	-	+	+	-	++	-	-
5	-	+/+++	+	-	+	-	-	+	-	+
6	-	++	+	+	-	+	-	++	-	-
7	-	++	+	+	-	+	-	++	-	-
8	-	++	+	+	++	+	+	+	-	++
10	-	+	+	+	+	+	-	+	-	+
11	+/-	+++	++	+++	-	++	-	+++	+	++

Patient 9 excluded from the histological analysis.

all biopsy samples and showed mild to moderate range of intensity. The density of lymphocytes was moderate in two, mild in five, and virtually absent in three samples (patients 6, 7, and 11). In one of them, instead of lymphocytes numerous plasma cells were detected (patient 11). Macrophages were moderately numerous in one sample (patient 11) and mild in seven. In two samples no macrophages were seen (patients 3 and 5). Neutrophil granulocytes were only present in small numbers and detected in three biopsy samples (patients 2, 3, and 8). The amount of elastic fibres in the interstitium and alveolar septae was assessed as moderate in two (patients 2 and 11) and mild in eight tissue samples. In addition, the number of fibroblasts was considerably raised in only one (patient 11), moderately in four, and slightly in five lung samples. The intra-alveolar cell population consisted predominantly of macrophages. They were identified in small numbers in most of the samples. The macrophage number was high in one sample (patient 11), giving the appearance of a desquamative interstitial pneumonia. In addition the cytoplasm was loaded by iron (focal secondary haemosiderosis). Lymphocytes were rarely seen and detected only in small numbers in two samples (patients 2 and 11). Neutrophilic granulocytes were absent from alveolar spaces in all biopsy samples.

#### TREATMENT

All patients received an initial course of prednisolone 2 mg/kg for three months. A steroid response was noted in six patients. If there was no clinical response, then the steroids were withdrawn. Where a steroid response was seen, prednisolone was continued at 1–1.5 mg/kg daily for one month before changing to alternate day treatment. The average length of a continued course of steroids was two years and five months (median one year and three months; range four months to six years and three months). In two infants side effects were noted. One infant had abdominal pain after which the steroids were withdrawn. Patient 5 had some Cushingoid features noted at 2 years of age after which his steroid dose was reduced. Patient 11 has continued to deteriorate despite oral prednisolone. Her steroids were stopped and treatment was changed to hydroxychloroquine with a good response. Six patients had reversible bronchial reactivity and responded to inhaled steroids and bronchodilators.

A dietitian assessed nutrition in every patient. Seven were failing to thrive and received high energy diet supplementation. Weight gain was maintained in all cases. Five grew parallel to their presenting weight centile. Only patient 6 was able to regain his original birth centile.

Five patients required home oxygen. Patients 7 and 9 have been successfully weaned into air. Patients 10 and 11 have been weaned to flow rates between 1 and 0.5 litres per minute while patient 5 needs nocturnal oxygen only.

All 11 patients are alive at a median age of 6 years since the diagnosis was made (range: 1 month to 10 years). Two patients are without symptoms. A further six infants have experienced dyspnoea with moderate activity. The remaining three affected children still require oxygen.

#### Discussion

This case series describes the clinical course of 11 children with interstitial pulmonary fibrosis presenting to one regional centre over an 11 year period. Despite previous reports of a poor prognosis in this age group,<sup>2–4, 9</sup> all our patients are alive at a median age of 6 years. This striking difference in prognosis in this series could be a reflection of the heterogeneous nature of the condition, with some of our patients showing milder pathology with less intense inflammation and fibrosis. However, this series does include patients with severe disease and even these patients are alive. In all except one patient immunosuppressive treatment has been limited to oral steroids with the addition of nutritional support and oxygenation. This may indicate that a more conservative approach avoiding more aggressive immunosuppressive therapy might have an advantage. Epidemiological research on the prevalence of interstitial disease in adults from the United States suggests that the condition is more common in the general population<sup>10</sup> than previous estimates based on hospital records would suggest.<sup>11</sup> There is evidence for great variability, both in the natural history of the disease and in its response to treatment.<sup>9</sup> Some affected children have experienced spontaneous resolution without treatment,<sup>8</sup> while others progress to death despite maximal therapy.<sup>2</sup> Further research on the prevalence of idiopathic pulmonary fibrosis in the general paediatric population is needed in order that the aetiology and natural history may be better understood.

All of our patients experienced symptoms at a very early age. Half had symptoms at birth. This young age of presentation has been described in previous series.<sup>2, 4, 12</sup> Fan *et al*, in their series of 48 patients, noted that most patients had symptoms under 1 year of age.<sup>4</sup> Osika *et al* reported that nine out of ten patients had symptoms below 2 months of age.<sup>2</sup> Two of our patients were ventilated for short periods at low mean airway pressures. Neither had any histological changes associated with ventilation induced barotrauma or bronchopulmonary dysplasia. Four of our patients were born prematurely at 28, 33, 34, and 34 weeks respectively. None had a clinical presentation which was compatible with respiratory distress syndrome of the newborn. Three of these premature children had the first symptoms of interstitial lung disease after the neonatal period between 3 weeks and 2.5 months of age. The early appearance of symptoms in all of the infants in our series suggests that an in utero aetiology should be considered in infants with idiopathic pulmonary fibrosis. In contrast to

previous reports,<sup>2-9</sup> we did not find that a young age of presentation resulted in a worse prognosis.

The presenting symptoms of dyspnoea and cough were typical of previous series of interstitial lung disease.<sup>1-13</sup> The importance of early diagnosis and treatment has been emphasised in previous studies.<sup>2-3</sup> In this series we could find no difference in outcome between children who were diagnosed and treated early and those where diagnosis and treatment was delayed. Failure to thrive was a common finding at presentation and occurred despite a normal birth weight in most cases. Weight gain can be achieved if high energy supplements are provided.

In common with many previous reports we could find no relation between the severity of presenting symptoms, the findings on investigation, and the eventual outcome.<sup>3-4, 13</sup> All patients had evidence of fibrosis on chest radiographs and CT scan. This fibrosis was diffuse in all cases. Patient 8 appeared to develop fibrosis over two years. In retrospect, he may have been diagnosed earlier had a lung biopsy been performed sooner. While the clinical presentation and radiological findings in our patient group were similar to those found in previous case series,<sup>2-4, 9</sup> the histology described in this report is by contrast less severe. There were no cases of LIP in this series. This may be because of the low incidence of paediatric human immunodeficiency virus infection in Merseyside. We saw histological evidence of severe fibrosis in only two patients while five infants showed moderate fibrosis. Cellular interstitial inflammatory infiltrate was either mild or moderate in all of the infants. By contrast, Osika and colleagues<sup>2</sup> reported "intense to moderate fibrosis" in all cases and intense to moderate cellular infiltrate in six of the 10 infants in their series. In adults a good response to steroids and therefore a better prognosis has been observed in patients with a considerable cellular reaction<sup>14-16</sup> and less fibrosis.<sup>17</sup> Other investigators working with adult patients have found that neither degree of fibrosis nor cellular infiltrate relates to subsequent response to therapy and survival.<sup>18</sup>

In common with the findings of other paediatric case series,<sup>2, 7</sup> the severity of histological change in individual infants in this report did not relate to their response to steroids or their eventual outcome. Although one specimen obtained by percutaneous lung biopsy proved to be inappropriate for histological analysis, we believe that the percutaneous method is both safe and reliable. Percutaneous lung biopsy allows careful selection of the site of maximal lung abnormality seen on CT,<sup>5</sup> and has few side effects compared to open lung biopsy.<sup>19</sup> Six of our patient group showed a favourable response to corticosteroids. The lack of response of patients to oral corticosteroids has been well documented.<sup>2, 4, 9, 20</sup> Steroid unresponsive disease may be caused by the presence of established and advanced pulmonary fibrosis prior to starting treatment.<sup>21</sup> Alternatively, therapy with steroids may fail as steroids have

been shown to have little effect on the cytokines known to promote fibroblast proliferation.<sup>22, 23</sup> A number of studies suggest that response to steroids is the most important factor in determining a good prognosis.<sup>9, 17</sup> We could find no differences in prognosis between those that received more than three months of oral steroids and those that did not. Success has also been described with the use of pulsed methylprednisolone.<sup>2, 13, 24</sup> A multicentre, randomised, double blind trial comparing oral prednisolone to both a placebo control and pulsed methylprednisolone is needed. Investigations to find an underlying cause of the interstitial disease proved unhelpful and the interstitial lung fibrosis described here is thought to be idiopathic in origin. Previous reports suggest that individual susceptibility is important<sup>25</sup> and a familial form of the condition has been reported.<sup>26</sup> We found no evidence of familial disease in our patients.

All patients who were assessed with respiratory function testing showed a restrictive pulmonary defect. As was previously reported,<sup>3</sup> we did observe a mild increase in forced vital capacity in four of the five patients who had a prolonged steroid treatment course. We did not observe deterioration in lung function in those children whose steroids had been stopped. We found transient pulmonary hypertension in only one patient. This is a well recognised complication of idiopathic pulmonary fibrosis.<sup>4</sup>

Idiopathic pulmonary fibrosis appears to have a diverse natural history with a variable prognosis in early infancy, and the prognosis in some patients managed with only oral steroids and hydroxychloroquine can be good. Further research into the prevalence, aetiology, and pathology of this rare condition is required to enable treatments of proven efficacy to be developed.

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