The role of inflammation in childhood asthma

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Abstract

The role of inflammation in adult asthma is well known, involving a cascade of immunological stimulation in which mast cells and eosinophils play pivotal roles. However, the assessment of airway inflammation in children is more difficult as the invasive methods used in adults cannot ethically be used for this purpose alone. Nevertheless, limited data from studies using invasive methodology, and studies using novel non-invasive techniques such as sputum induction and nitrous oxide exhalation, are improving knowledge. The immunopathology in childhood asthma appears to mirror that in adult sufferers. The inflammatory processes are evident at an early age in wheezing infants who later develop asthma, and there are different "wheezing phenotypes" in children with atopic asthma or viral associated wheeze. The mechanisms underlying childhood asthma are dependent not only on increased numbers of inflammatory cells in the airways, but also increased activation of these cells. In vitro data have shown that corticosteroids can inhibit the secretion of proinflammatory compounds from alveolar macrophages, suggesting a potential important role for these agents in halting the development of asthma. Techniques for measuring inflammation in infants need to be refined, in order to provide increased knowledge and accurate monitoring of the disease. It is hoped that this will enable the development of early interventions to minimise the impact of asthma in infants who are identified as being susceptible.

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Airway inflammation is an important feature in the development and progression of many respiratory disorders. It plays a central role in the pathogenesis of asthma in adults,¹ but the role of inflammation in paediatric asthma is less clear. This is largely because invasive methods of monitoring airway inflammation, such as flexible bronchoscopy and bronchoalveolar lavage (BAL), can only be carried out in children when there is a clear clinical indication to do so. It would not be ethical to perform such procedures purely to assess concentrations of inflammatory cells, and peripheral measurements of inflammation from blood or urine samples are less direct and may not be predictive of acute changes.²

Reliable assessment of airway inflammation is important because it provides an insight into the immunopathology of asthma, and also may lead to a more definitive assessment of the efficacy of asthma medication than that provided by lung function measurements. Recent studies using both invasive techniques (when justified by clinical circumstances) and newer, non-invasive measurements have provided increasing evidence of the role of inflammation in the pathology of childhood asthma. These studies and their significance are reviewed in this paper.

Data from BAL secretions of paediatric patients with asthma

An early analysis of BAL fluids from children aged 6–16 years with chronic asthma revealed that eosinophil concentrations were correlated with the degree of bronchial hyperresponsiveness (BHR).³ In a later study, BHR was also found to correlate with increased concentrations of mast cells.⁴ These results imply that mast cells and eosinophils play a similar role in paediatric asthma to that in adult asthma.

Using a non-bronchoscopic BAL procedure, Stevenson *et al* showed that eosinophils and mast cell concentrations are significantly increased in children (less than 5 years old) with atopic asthma, in comparison with children with viral induced wheezing (fig 1).⁵ Study participants were assessed during relatively asymptomatic periods, implying that atopic asthma is associated with ongoing inflammation. These results also suggest that there are different underlying mechanisms involved in atopic asthma and viral induced wheezing. Unfortunately, the study did not indicate the proportions of children aged under 2 or 3 years, making it impossible to draw conclusions for infants.

More recently, the BAL cell profiles from 73 wheezing infants aged under 3 years were compared with those of 17 non-wheezing controls.⁶ The latter had various pulmonary pathologies (justifying the invasive BAL procedure), but no bronchial inflammation. Surprisingly, no significant differences were observed between concentrations of eosinophils and macrophages in the two groups, although a small but statistically significant increase in neutrophils and a small decrease in lymphocytes was found in wheezers (fig 2). Thus, proinflammatory cells can be present in both wheezing and non-wheezing young infants with pulmonary pathologies. This suggests that mechanisms other than increased concentrations of eosinophils and mast cells are involved in the pathophysiology of persistent wheezing.

Researchers therefore proposed that differences in the activation of proinflammatory cells

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Figure 1 Eosinophil (A) and mast cell (B) percentages in lavage fluid from children with atopic asthma ($n = 52$), viral associated wheeze ($n = 20$), and atopy without respiratory disease ($n = 23$). Reproduced with permission.⁵

Figure 2 BAL cell profiles in non-wheezing and wheezing infants under 3 years of age. * $p < 0.01$ (data from Le Bourgeois *et al*).⁶

may determine whether infants will proceed to develop childhood asthma.

Inflammatory mechanisms in adult asthma

The immunological processes involved in adult asthma have been well characterised.¹ The principal inflammatory agents are activated eosinophils and mast cells, which are instrumental in causing structural changes to the epithelium. Initially, degradation of the epithelium occurs and junctional adhesion structures are weakened. In response to this, collagen is deposited beneath the basement membrane,

resulting in increased thickness. There is an accompanying increase in airway smooth muscle and new blood vessels are generated. Mast cells initiate an early response to allergens, by degranulating and releasing stored inflammatory mediators.⁷

Antigen presenting cells (APCs) facilitate the activation of T lymphocytes by engulfing foreign allergenic particles and presenting the processed fragments on their surface. This occurs about 8–24 hours after allergen exposure (late response).⁷ Activated (CD4+) T cells of the T_H2 phenotype secrete cytokines including interleukin 4 (IL-4), IL-5, IL-13, and tumour necrosis factor α (TNF- α), while T_H1 cells secrete IL-2 and interferon γ (IFN- γ) (the T_H2 response predominates in asthma). These cytokines exert a number of effects, including:

- Promotion of the growth and differentiation of inflammatory cells (including eosinophils and mast cells), their activation, and their localisation in airways
- The switching of IgM+ B cells to IgE producing cells; IgE is produced in response to allergic stimulus in atopy and is associated with asthma
- Stimulation of epithelial cells to produce chemokines, metalloproteases, nitric oxide (NO), and adhesion molecules; together, these agents encourage the attraction and binding of eosinophils and T cells to the epithelium and increase squamous degradation.

All of these inflammatory processes and structural changes underlie BHR.

Cell activation studies in children

Epidemiological data suggest an association between recurrent bronchiolitis in children younger than 3 years of age and a diagnosis of asthma later in life.⁸ A study was therefore carried out to compare macrophages obtained from BAL samples from infants with recurrent bronchiolitis with those from non-wheezing controls.⁹ Alveolar macrophages from wheezy infants were found to have a decreased sensitivity to the stimulating effect of salbutamol, prostaglandin E_2 (PGE₂), and forskolin than controls (fig 3). This suggests that refractoriness to adenylyl cyclase stimulation could be one of the precipitating events in the development of asthma.

Azevedo *et al* compared arachidonic acid metabolism in alveolar macrophages from wheezy versus non-wheezing infants.¹⁰ Spontaneous release of the proinflammatory eicosanoids thromboxane A_2 and leukotriene B_4 (both of which are products of arachidonic acid metabolism) was substantially increased in cells from wheezy subjects compared with controls, by factors of 11.0 and 7.2, respectively. Thromboxane A_2 release from macrophages was also 5.8 times higher among wheezy infants than controls, when the cells were stimulated with the calcium ionophore A23187. These results were later reinforced when the release of TNF- α was found to be significantly increased by a factor of 5.6 in alveolar macrophages from infants with severe

Figure 3 Effect of salbutamol on the intracellular cAMP concentrations of alveolar macrophages from infants with recurrent bronchiolitis (closed circles) and non-wheezing control subjects (open circles). * $p < 0.05$. Reproduced with permission.⁹

recurrent wheezing, compared to controls.¹¹ Increased TNF- α secretion has also been described in asthmatic adults.¹²

Interestingly, the studies by Azevedo *et al* also showed that therapeutic concentrations of dexamethasone inhibited the release of several proinflammatory mediators. At a concentration of 1 μ M, the corticosteroid inhibited thromboxane A₂ release by alveolar macrophages by 70%.¹⁰ In this study, dexamethasone also inhibited spontaneous release of leukotriene B₄, but had no statistically significant effect on the release of this eicosanoid by stimulated macrophages. This indicated that dexamethasone does not, as previously thought, act just by inhibiting the activity of phospholipase A₂. Its activity is more complex, involving reductions in the activity of numerous enzymes, cytokines, and adhesion molecules through transcriptional interference.⁷ The later study by the same group showed that dexamethasone (1 μ M) reduced the release of TNF- α from activated macrophages of both wheezy and non-wheezy infants by 48%.¹¹ Reductions of 35–50% were also observed in the release of thromboxane B₂ and prostaglandin E₂, confirming the inhibitory effects of dexamethasone on the release of cyclooxygenase metabolites.

Taken together, these studies show that in infants with recurrent wheezing episodes, alveolar macrophages are stimulated to release proinflammatory mediators. This is consistent with the findings of Warner *et al*, who recently reported an association between asthma symptoms and combined increases in BAL concentrations of soluble intercellular adhesion molecule 1 (sICAM-1) and eosinophil cationic protein (ECP).¹³ The same group also performed biopsies in children aged 1–11 years who were being investigated for respiratory symptoms. At follow up after two to six years, increases in the concentrations of activated eosinophils in the lamina propria and in the thickness of the subepithelial lamina reticularis were seen among those children who had developed asthma, compared with those who did not. This raises the question of whether the immunopathology of asthma becomes established before symptoms appear. In addition, since the BAL procedures were carried out during asymptomatic periods, the results of Azevedo *et al* indicate that inflammatory

stimulation is an ongoing event in infants with recurrent wheeze. This is similar to results described for adults with asthma and suggests a link at the cellular level between recurrent wheezing in infancy and asthma in adults. The inhibitory effects observed with dexamethasone support the therapeutic use of corticosteroids, particularly via inhalation, in infants with severe recurrent wheezing.

Sputum analysis for monitoring airway inflammation in children

Analysis of saline induced sputum has the potential to be a useful tool in monitoring airway inflammation in children. A major benefit of this technique is that it is non-invasive, and therefore is not subject to the same ethical issues as BAL.

During the 1990s, several studies using sputum analysis revealed differences between asthmatic children and controls as well as investigating intrasubject differences between symptomatic and asymptomatic periods.

Pioneering work in this area was carried out by Pin *et al*. In 1993, they showed that eosinophil concentrations in sputum from asymptomatic asthmatic children aged 11–16 years were similar to those of controls while concentrations in symptomatic children were increased.¹⁴

Twaddell *et al* examined sputum cell counts in children with acute exacerbations of asthma and found a large cellular infiltrate during acute asthma (34×10^6 cells/ml), comprising many cell types including eosinophils, neutrophils, and mast cells.¹⁵ When the same subjects were examined 14 days later, on resolution of the exacerbation, a significant fall in the cell count was recorded (1.9×10^6 cells/ml; $p \leq 0.04$). Another study found that lung function, measured by forced expiratory volume in one second (FEV₁), decreased with increasing concentrations of sputum eosinophils.¹⁶ In addition, an epidemiological study performed in 170 children aged 8–14 years found that increased concentrations of sputum eosinophils were correlated with BHR and asthma symptoms.¹⁷ Among those children with BHR, the probability of having high concentrations of sputum eosinophils was 4.36 times greater than that for subjects without BHR, the equivalent odds ratio for eosinophilia being 2.25 for children with asthma symptoms. BHR was also associated with increased numbers of mast cells (odds ratio of 7.46).

All these findings reinforce the hypothesis that inflammation is present in childhood asthma, and show that this can be monitored by sputum analysis.¹⁸ However, the technique is limited to children aged over 7 years, as it is very difficult to induce sputum in younger infants.¹⁷

Nitric oxide

Recent studies have shown that nitrous oxide (NO) seems to play an important role in asthma and its pathogenesis.¹⁹ In 1997, Baraldi *et al* showed that children with acute asthma (mean age 9.3 years, $n = 16$) exhaled increased concentrations of NO in comparison with 16

Figure 4 Exhaled NO concentrations in 13 infants with recurrent wheeze before and after 5 days of treatment with oral prednisone, and 9 controls in two of whom NO was measured immediately before and after tracheal intubation for elective surgery. Group means (plus or minus SEM) and individuals are shown. Reproduced with permission.²²

healthy controls of the same age (31.3 v 5.4 parts per billion, ppb).²⁰ Prednisone therapy (1 mg/kg/day for five days) reduced the NO level in asthmatic children to 16.5 ppb, significantly below that recorded before corticosteroid therapy, but the level remained significantly higher than that in the controls ($p < 0.001$ for both differences). Recently, exhaled NO concentrations were found by another group to be increased among asthmatic children with a mean age of 11.5 years.²¹

Baraldi *et al* subsequently studied NO in infants, comparing individuals with either recurrent wheeze (mean age 20 months) or first time viral wheeze (mean age 11 months) with healthy controls (mean age 17 months).²² Significantly higher NO concentrations were found in the group with recurrent wheeze before prednisone therapy compared with controls (14.1 v 5.6 ppb, $p < 0.001$; fig 4). In contrast, mean exhaled NO from infants with first time wheeze (8.3 ppb) was not significantly different from controls. Again, five days of prednisone therapy effectively reduced NO concentrations in infants with recurrent wheeze, to a mean of 5.9 ppb.

The data from NO studies are consistent with the concept that inflammation is present at an early stage in infants with troublesome symptoms, and that measurement of exhaled NO has the potential to distinguish different "wheezing phenotypes". However, further investigations are required to standardise the methodology used in these studies.

Conclusions

The studies reviewed here support the viewpoint that the immunopathology of paediatric asthma is similar, if not identical, to adult asthma. Airway inflammation may occur in children before the manifestation of symptoms of asthma, and both genetic and environmental factors may be involved. Inhaled corticosteroids, through their ability to inhibit several key inflammatory processes, may have an increasing role to play in the treatment of infants with severe recurrent wheezing. It is

now important to identify and confirm an effective test that will reveal both a predisposition to asthma and its severity in patients. Such knowledge should then assist the search for ways to reduce, or even prevent, the natural progression towards the disease in susceptible infants.

Addendum

Since submission of this paper, M Ennis *et al* (Ennis M, Turner G, Schlock BC, *et al*. Inflammatory mediators in bronchoalveolar lavage samples from children with and without asthma. *Clin Exp Allergy* 1999;29:362–66.) have confirmed that eosinophils and mast cells are activated in children with a history in recurrent wheeze. BAL histamine and ECP were higher in atopics than in children with viral associated wheeze (non-atopics).

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