Olivopontocerebellar atrophy of neonatal onset and disialotransferrin developmental deficiency syndrome

S P Horslen, P T Clayton, B N Harding, N A Hall, G Keir, B Winchester

Abstract
Two brothers presented with olivopontocerebellar atrophy of neonatal onset. The clinical features (failure to thrive, hypotonia, liver disease, effusions, and visual inattention) were similar to those of the four cases already reported, as were the necropsy findings of olivopontocerebellar atrophy, hepatic steatosis and fibrosis, and microcystic renal changes. The clinical similarities between this and the disialotransferrin developmental deficiency syndrome were noted. The characteristic abnormality of serum transferrin found in the latter syndrome was also found in the two cases reported here.

We suggest that both syndromes are caused by the same, or related, defects in glycoprotein metabolism.

During the past five years, four children from two separate families have been described who had olivopontocerebellar atrophy of neonatal onset.\(^2\) The olivopontocerebellar atrophy seems to be just one manifestation of a congenital multisystem disorder that affects brain, liver, kidney, retina, and joints in particular, and which seems to have an autosomal recessive inheritance.

The diagnosis of olivopontocerebellar atrophy rests primarily on morphological evidence of degeneration of the cerebellar cortex and its afferent pathways; it has been well described in adults and to a lesser extent in older children. The first cases in adults were described at the turn of the century.\(^3\) In 1970, Konigsmark and Weiner classified it into five types, type II being of recessive or sporadic inheritance, the other types being autosomal dominant.\(^3\) It is now generally accepted that it is not a single disease, but is the result of a number of clinically and genetically separate conditions.

The first report of neonatal onset olivopontocerebellar atrophy with systemic features was by Agamanolis et al in 1986. They described a brother and sister with the condition and suggested that it may have been caused by a primary lipoprotein disorder.\(^1\) Harding et al, two years later, noticed low serum concentrations of thyroid binding globulin and caeruloplasmin in the two cases that they reported, thus raising the possibility of an abnormality of glycoproteins, although this was not formally stated in their paper.

A less severe disorder has been described in recent years with many features in common with olivopontocerebellar atrophy of neonatal onset including failure to thrive, developmental delay, hypotonia, retinal abnormalities, liver disease, joint restrictions, pericardial effusions, and cerebellar hypoplasia or atrophy. This has been named disialotransferrin developmental deficiency (DDD) syndrome\(^6\) or carbohydrate deficient glycoprotein syndrome.\(^7\)

Abnormalities of glycoproteins have been found in patients with DDD syndrome.\(^6-10\) The most specific biochemical marker being an alteration in the glycosylation pattern of serum transferrin.\(^6\) Transferrin is one of the main serum glycoproteins that transport iron, and it has two N linked glycosylation sites (fig 1). Separation of transferrins in clinical samples by isoelectric focusing may resolve up to seven transferrin bands containing between 0 and 6 sialic acid residues.\(^1\) In normal serum, transferrin with four sialic acid residues (tetrasialotransferrin) predominates, but in serum from patients with DDD syndrome there is a pronounced reduction in tetrasialotransferrin and a corresponding increase in components containing 0 and 2 sialic acid residues (asialotransferrin and disialotransferrin).\(^6\)\(^10\)

In view of the clear clinical similarities between neonatal onset olivopontocerebellar atrophy and DDD syndrome it is important to look for abnormalities in serum glycoproteins in cases of olivopontocerebellar atrophy of neonatal onset.\(^1\)\(^2\) We report two further cases in which plasma transferrin that is deficient in sialic acid has been specifically sought.

Case reports, subjects, and methods

**Case reports**

**Case 1**
This boy was the third child of unrelated white parents who already had two daughters who were entirely well. He was born at 37 weeks' gestation, after presenting in a double footing position. The pregnancy had been uneventful. He weighed 2800 g at birth, and Apgar scores were 3 at 1 minute and 10 at 5 minutes. Because of some floppiness and grunting the infant was...
admitted to the special care baby unit but settled rapidly and was soon established on full breast feeding. It was noted, however, that he had a relatively large head circumference (38 cm, >90th centile), puffy feet, and limited abduction of the hips. His chromosomes were therefore checked and found to be normal male (46XY). Despite feeding well at home he failed to thrive and at the age of 6 weeks some facial oedema was noticed. He smiled at 7–8 weeks but showed little sign of visual fixation, and no head control. At 3 months of age he was admitted to his local hospital with increasing oedema and abdominal swelling and was transferred to the Hospital for Sick Children for further investigation.

On examination he had a large head with a prominent occiput, a high forehead, an antimongoloid slant to his eyes, low set ears, and a small mandible. He had a wasted appearance with a distended abdomen, gross ascites, bilateral inguinal hernias, and moderate enlargement of the liver. His spleen was not palpable. His cardiovascular and respiratory systems seemed to be normal. He had complete visual inattention and roving eye movements, severe head lag, and truncal hypotonia. On ophthalmological examination there was optic disc pallor but no other ocular abnormality. He had restricted extension of the knees, abduction of the hips, and dorsiflexion of the ankles.

An abdominal ultrasound scan confirmed the presence of ascites and hepatomegaly. Chest radiographs, skeletal survey, and cranial ultrasound scans showed no abnormalities, but cranial computed tomograms showed dilated ventricles and subarachnoid spaces. An electrocardiogram showed no pericardial effusion but suggested some thickening of the walls of both ventricles. The electroencephalogram and electromyogram showed no abnormalities but results of the electroretinogram and visual evoked response were abnormal suggesting some alteration of function in the posterior part of the left hemisphere. The brainstem auditory evoked response suggested a significant loss of peripheral auditory function. Standard biochemical investigations showed that the serum

**Table 1. Liver function tests at the time of admission to the Hospital for Sick Children**

<table>
<thead>
<tr>
<th>Test</th>
<th>Case No 1</th>
<th>Case No 2</th>
<th>Reference range</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total bilirubin (μmol/l)</td>
<td>14</td>
<td>&lt;12</td>
<td>&lt;17</td>
</tr>
<tr>
<td>Total protein (g/l)</td>
<td>29</td>
<td>45</td>
<td>61–78</td>
</tr>
<tr>
<td>Albumin (g/l)</td>
<td>20</td>
<td>24</td>
<td>35–55</td>
</tr>
<tr>
<td>Alanine aminotransferase (U/l)</td>
<td>101</td>
<td>64</td>
<td>10–35</td>
</tr>
<tr>
<td>Aspartate aminotransferase (U/l)</td>
<td>164</td>
<td>118</td>
<td>20–50</td>
</tr>
</tbody>
</table>

![Figure 2](http://adc.bmj.com/) (A) Case 1: histological section of liver showing fatty infiltration and abnormal bile duct plates (haematoxylin and eosin stain×75). (B) Immunoperoxidase staining for cell adhesion molecule 5-2 shows that abnormal bile ducts extend from the portal tract, magnification×30. (C) Case 1: histological section of the kidney showing tubular cystic dilatation (haematoxylin and eosin stain×30).
Olivopontocerebellar atrophy of neonatal origin and disialotransferrin deficiency syndrome

albumin and total protein concentrations were abnormally low, and transaminase activities were high, indicating hepatic disease (table 1). The plasma electrolyte, urea, and creatinine concentrations were within the reference range. Of the amino acid concentrations in plasma, taurine and glycine were raised. Urinary screen for organic acids, amino acids, glycosaminoglycans and reducing substances showed no abnormality. α1-Antitrypsin concentration was just below the lower limit of the reference range at 19.2 μmol/l (20-40 μmol/l).

After treatment with diuretics and albumin his condition improved. He became oedematous again during an episode of diarrhoea, however, and required further albumin. Transfer back to the referring hospital was being arranged when he suddenly became restless with an irregular breathing pattern and died soon afterwards. He was 15 weeks old at the time of death.

Necropsy confirmed the finding of thickened ventricular walls, although no other cardiac abnormalities were discovered. The liver was enlarged and fatty and histological examination showed macrovesicular fatty change, ductal plate abnormalities, and portal fibrosis (fig 2). The kidneys were enlarged and pale; microscopically there was pronounced cystic dilatation of the tubules and collecting ducts, but the glomeruli were unaffected. The fixed brain weighed 560 g (normal for age), but the hindbrain accounted for only 18 g, 3% of the total (normal is 11% of total), the cerebellum being small with narrow prominent folia while the base of the pons was flattened (fig 3). Histological examination of the cerebellum showed subtotal loss of Purkinje cells and granule cells, and extensive depletion of the white matter accompanied by widespread gliosis, but the dentate nuclei and their outflow through the superior cerebellar peduncles were well preserved. Neuronal loss from pontine nuclei and inferior olives was severe, and the middle and inferior cerebellar peduncles and the transverse pontine fibres were extremely atrophic. Several sections of the spinal cord were normal.

Case 2
This boy was the brother of case 1 and was born just over two years later. He was delivered at term after an uneventful pregnancy. The Apgar scores were good and he weighed 2900 g at birth. In view of the previous child’s history, the first examination was carried out by a consultant paediatrician, who thought he was normal. The baby fed well immediately and was discharged home 24 hours after birth.

At 4 weeks of age, however, he was referred to the Hospital for Sick Children by his general practitioner because of failure to thrive, vomiting, and abnormal eye movements. He had failed to gain any weight since birth and was now below the 3rd centile for his age. He was thin and wasted and had a prominent occiput and low set ears like his brother. Cardiovascular and respiratory systems seemed normal. His liver was palpable 1·5 cm below the costal margin and was soft and smooth. There was no ascites and no abdominal distention. Neurological examination showed general hypotonia with poor head control, roving eye movements, and

Figure 3 Case 1: (A) base of the brain—the cerebellum is extremely small and the basal pons is shallow. (B) Horizontal section through the cerebellum and pons. Cerebellar folia and central white matter are white indicating gliosis, but the dentate ribbon (d) can still be discerned. Note the small basis pontis.
no visual fixation. There was also limited abduction of both hips.

Ultrasound examinations showed a small cerebellum, a small quantity of ascites, and no abnormality of the heart. A barium swallow examination showed a sliding hiatus hernia with marked gastro-oesophageal reflux. The electroencephalogram showed no abnormality, but the electroretinogram and visual evoked response indicated some loss of function of the visual pathways and the brainstem auditory evoked response suggested disturbance of the brainstem pathways. Biochemical examination of serum showed a low albumin concentration and raised transaminase activities, although these abnormalities were less severe than those of his brother (table 1). There was no abnormality involving reducing substances, organic acids, or amino acids in the urine. Plasma amino acid concentrations were normal except those of proline and threonine, which were raised. The concentration of urate was also within the reference range. Thyroid studies showed that the concentration of thyroid stimulating hormone was high (10.8 mU/l; reference range 0.5–6 mU/l), and total thyroxine concentration was low (38 nmol/l; reference range 90–195 nmol/l) as was that of thyroxine binding globulin (3.6 mg/l; reference range 7–17 mg/l). Serum lipid and lipoprotein concentrations were also measured (table 2). The lipoproteins were within the reference range with the exception of apolipoprotein B, the presence of which was indicated by immunoelectrophoresis, though it was not quantifiable.

After confirmation that he had the same condition as his brother his parents wanted him to return home, and he died of pneumonia at the age of 17 weeks. At necropsy, there was bilateral bronchopneumonia, a moderate pericardial effusion, and a small amount of ascites. The liver and brain abnormalities were similar to those of his brother, but the kidneys looked normal.

METHODS

The different forms of transferrin were separated by agarose isoelectric focusing. Briefly, an agarose gel 220 × 110 × 1 mm containing 4% carrier ampholytes (Pharmalyte 4–6.5) was loaded with approximately 40 ng of transferrin (2 μl serum diluted 1:100). Focusing was carried out at 1000 V for 30 minutes. After transfer to cellulose nitrate the transferrin was detected immunochemically with sheep antihuman transferrin IgG peroxidase conjugate.

RESULTS

Isoelectric focusing of serum transferrin from normal control subjects showed that transferrin containing four sialic acid residues (tetrasialotransferrin) was the main component (fig 4, lanes B and C). In both of our patients with olivopontocerebellar atrophy of neonatal onset, transferrin isoelectric focusing showed pronounced increases in dialotransferrin and asialotransferrin, with a corresponding reduction in tetrasialotransferrin (fig 4, lanes D and E). In no other sample (including those from infants with cirrhosis caused by disorders other than olivopontocerebellar atrophy, and those from the two children with type III disease) was there a similar alteration of the transferrin isoelectric focusing pattern.

SUBJECTS

Serum samples from the two patients with olivopontocerebellar atrophy of neonatal onset were analysed together with samples from four groups of control subjects: 300 normal adults, 10 infants and children suspected of having olivopontocerebellar atrophy or DDD syndrome but in whom further investigation con-

![Figure 4](http://adc.bmj.com/)

**Figure 4** Isoelectric focusing of transferrin from samples of serum and cerebrospinal fluid. (A) Represents normal cerebrospinal fluid, (B) and (C) serum from two normal subjects, and (D) and (E) serum from the two cases of olivopontocerebellar atrophy of neonatal onset. Numbers at the side indicate the bands corresponding to transferrin possessing 0 to 6 molecules of sialic acid.

### Table 2 Serum lipid and lipoprotein concentrations in case No 2

<table>
<thead>
<tr>
<th>Analyte (units)</th>
<th>Serum concentration</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Case No 2 Reference</td>
</tr>
<tr>
<td></td>
<td>range</td>
</tr>
<tr>
<td>Cholesterol (mmol/l)</td>
<td>3.4</td>
</tr>
<tr>
<td>Triglycerides (mmol/l)</td>
<td>1.3</td>
</tr>
<tr>
<td>High density lipoprotein cholesterol (mmol/l),&lt;sup&gt;a&lt;/sup&gt;</td>
<td>1.12</td>
</tr>
<tr>
<td>Low density lipoprotein cholesterol (mmol/l) by Friedewald equation&lt;sup&gt;b&lt;/sup&gt;</td>
<td>0.67</td>
</tr>
<tr>
<td>Apolipoprotein A I (g/l)</td>
<td>1.17</td>
</tr>
<tr>
<td>Apolipoprotein B (g/l)</td>
<td>&lt;0.30</td>
</tr>
</tbody>
</table>

<sup>a</sup>Mean (2 SD).
Olivopontocerebellar atrophy of neonatal origin and dialosotransferrin deficiency syndrome

Discussion

The clinical and pathological findings in our two cases are essentially the same as those described in the four children previously reported with neonatal olivopontocerebellar atrophy.\(^1\)\(^2\) All six cases failed to thrive, and had developmental delay, hypotonia, abnormal eye movements, varying degrees of cirrhosis and fatty liver, and typical neuropathological features. All six cases died before the age of 2 years. This contrasted with the cases of DDD syndrome which, at the time that they were reported, were all alive between the ages of 3 and 21 years.\(^5\)

Except for this one aspect, however, the similarities between the two conditions are striking. Features common to both disorders include failure to thrive, hypotonia, developmental delay, retinal abnormalities, joint restrictions, liver disease, pericardial effusion, diarrhoea, and cerebellar atrophy.

We have now shown that this pattern is also present in cases of olivopontocerebellar atrophy of neonatal onset. Whether this abnormality in the sialic acid content of serum transferrin is found in any other condition has been studied intensively. The results of transferrin isoelectric focusing on many thousands of serum samples have shown that similar patterns are seen only in cases of severe alcohol abuse, and these changes are usually less pronounced.\(^6\)\(^15\) Our results show that infantile liver disease alone does not cause similar alterations in serum transferrin patterns.

Transferrin is not the only glycoprotein that is abnormal in olivopontocerebellar atrophy of neonatal onset and in the DDD syndrome. For example, low plasma concentrations of thyroid binding globulin have been reported in both conditions.\(^2\)\(^8\)\(^9\) A low serum concentration of the glycoprotein apolipoprotein B has been reported in olivopontocerebellar atrophy of neonatal onset (our case 2 and case 1 reported by Agamanolis et al).\(^3\) The results of lipid and lipoprotein analyses in our case 2 failed to show all the abnormalities reported by Agamanolis et al; the more generalised lipid abnormalities in the latter case may perhaps be explained by poor nutrition and more advanced liver disease. Interestingly, low concentrations of \(\beta\)-lipoproteins have been found during infancy in some patients with the DDD syndrome.\(^6\)

The biochemical similarities combined with the remarkable clinical similarities between the DDD syndrome and olivopontocerebellar atrophy of neonatal onset suggest that they may represent parts of the range of a single genetic metabolic disease, or at least closely related inborn errors of glycoprotein metabolism. Any hypothesis about the precise site of the metabolic defect must explain how the sialic acid content of serum transferrin becomes deranged, how the serum concentrations of some (but not all) glycoproteins are substantially reduced, and how damage to the liver and parts of the central nervous system occurs. The sialic acid content of serum transferrin could be altered by an inborn error affecting the synthesis of the glycoprotein or by one affecting its degradation.

During synthesis of mammalian glycoproteins, the addition of sialic acid to galactose residues at the non-reducing terminals of antennae of complex asparagine-linked glycans occurs at a late stage in post-translational processing, and results in termination of the chain. It is catalysed by two \(\beta\)-galactoside \(\alpha\)-2-3 and \(\alpha\)-2-6 sialyl transferases, which show specificity towards both the glycosidic linkage of the receptor galactose residue and the branch on which it occurs.\(^10\) The number and the structure of the recipient oligosaccharide chains are themselves determined by the primary structure of the protein and by the activities of the processing glycosidases and glycosyl transferases in the cell in which it is synthesised. Thus a change in the number of sialic acid residues on the transferrin molecule could, in theory, be the result of a mutation in its structural gene or a change in the activity of any one of the processing enzymes up to and including the sialyl transferases that add the terminal sialic acid residues. A specific mutation in the transferrin gene, however, seems unlikely in view of the changes in other glycoproteins in these patients. The reported decrease in non-specific N-acetylglucosaminyl transferase activity\(^11\) raises the possibility that one of the seven N-acetylglucosaminyl transferases required to generate the range of branched structures in complex glycans may be defective.\(^12\)

Serum sialidases (neuraminidases) can remove sialic acid residues from serum transferrin; the transferrin can then be removed from the circulation by the hepatic asialoglucoprotein receptor.\(^13\) Thus an alteration in the sialylation of serum transferrin could, in theory, result from increased serum sialidase activity or from a defect in the asialoglucoprotein receptor. The latter mechanism would, however, be expected to lead to an increase rather than a decrease in serum glycoprotein concentrations.

The correct explanation for the defect in the DDD syndrome and olivopontocerebellar atrophy of neonatal onset will probably have to await elucidation of the structure of the normal and abnormal transferrin glycans. The pathogenesis of organ damage is also unknown at present. The effects of a general disorder of glycoprotein metabolism are likely to be complex in view of the pleiotropic role of glycoproteins in cellular processes, cell-cell recognition, and transport.

We thank Dr R M Boustany for supplying serum from patients with olivopontocerebellar atrophy type III, and the technicians at the Medical Research Council Lipoprotein Unit, Hammersmith Hospital, London, for measuring serum lipid and lipoprotein concentrations.

2. Harding BN, DUNger DB, Grant DB, Erdohazi M. Familial...
A spoonful of sugar
Mary Poppins' advice may well upset dentists but there appears to be more to the calming effects of sugar than meets the eye. Workers in Baltimore (Elliott Bass and Lisa Hoffmeyer, *Pediatrics* 1991; 87: 215–8) have shown that giving a small amount of sucrose by mouth to newborn babies before heel prick blood letting reduced the amount and duration of subsequent crying compared with that in babies given only water. Crying in babies after circumcision was also reduced by sucrose. Babies given nothing cried for 67% of the time after the procedure, those given a dummy moistened with water cried for 49% of the time, and those given a dummy soaked in 24% sucrose solution cried for 31% of the time. The effect is thought to outlast the taste of sugar. Previous studies on rats have given similar results and the effect was eliminated by pretreating the animals with an opiate antagonist suggesting that it might be mediated by endogenous opioids.

These studies are of great theoretical interest and sucrose as a calmer for minor procedures seems acceptable but for more painful procedures, including circumcision, it is difficult to justify withholding more effective pain relief. There is no doubt that newborn infants respond to pain and surgical stress¹ and they deserve to be treated properly in this respect.

ARCHIVIST