The investigation of sleep disordered breathing: seeing through a glass, darkly?

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Timely diagnosis and treatment of obstructive sleep apnoea (OSA) in childhood is important to prevent morbidity and increased healthcare utilisation.¹ In this issue, Burke *et al*² highlight an important clinical question—how to best diagnose OSA in children, asking the question: is one night of oximetry enough? They note the limited availability of polysomnography, the international gold standard diagnostic test³ for OSA and that pulse oximetry is widely available.

However, widespread availability of oximetry risks widespread misinterpretation. It is crucial to understand that not all oximeters are 'born equal' and the technology available may have significant limitations. The diagnostic yield of any oximeter will depend crucially on the device used and its settings, the scoring criteria applied to the trace, alongside the clinical interpretation of the data. Modern oximeters are able to detect and remove motion artefact, which is critical in restless young children (figure 1). Oximeters need to be set with short averaging times (usually maximum 3 s) to avoid smoothing out of brief desaturation events (figure 2). McGill scoring criteria are recommended with a score >1 (three or more clusters of desaturation events ≥4% and at least three desaturations to <90%) being indicative of OSA,³ but as noted by Burke and colleagues, the risk of false negative results is high.

Clinical interpretation of oximetry requires a thorough understanding of sleep physiology and how this changes with age. Children are most likely to obstruct their upper airway in rapid eye movement (REM) sleep, when skeletal muscle atonia causes relaxation and narrowing of the pharyngeal airway. REM sleep is not evenly dispersed through the night. Four hours of data collected in the early part of

the night may capture very little REM sleep, conversely 4 hours of data at the end of the night may contain a disproportionate amount of REM sleep. Knowledge of sleep architecture is needed to interpret studies intelligently. Skilled clinicians can learn to recognise likely REM sleep due to the characteristic increase in heart rate variability and can make a judgement on whether or not the oximetry study contained REM sleep periods. However, a fundamental limitation of oximetry is that it is impossible to be certain that a child is awake or asleep. 'Sleep studies' may in fact be 'wake studies'. A further limitation is that not all obstructive upper airway events in sleep are associated with oxygen desaturation, in some cases children arouse from sleep before desaturation occurs. Nonetheless, these arousal related events can be clinically significant causing fragmentation of sleep and daytime cognitive and behavioural difficulties.³

Finally, clinical context is key, and oximetry must be interpreted with caution in children with Down syndrome and central nervous system pathology when oxyhaemoglobin desaturation may reflect central, as well as, obstructive apnoeic episodes. Distinguishing central apnoea from obstructive apnoea is only possible when respiratory effort is

measured as part of a cardiorespiratory polygraphy study. This is important as treatment differs—adenotonsillectomy will not treat central apnoea. In addition, normal oximetry values in children over the age of 1 year are not applicable to young infants.⁴

While resources for sleep laboratory polysomnography remain limited, cardiorespiratory polygraphy offers a positive intermediate diagnostic option. A variety of commercial devices offer standard respiratory sensors without the neurophysiological sensors needed for the detection of sleep in polysomnography. These devices are simpler to set up and the output is simpler to score. A further advantage is that they can be used in domiciliary settings and are the principle diagnostic tool for paediatric sleep apnoea in much of mainland Europe. Recent data suggest that cardiorespiratory polygraphy achieves adequate sensitivity (90.9%) and specificity (94.1%) for diagnosis of paediatric OSA, although it may fail to accurately recognise milder forms of the condition.⁵ Indeed, cardiorespiratory polygraphy is recommended in the standard National Health Service (NHS) England contract for tertiary respiratory paediatric services. The number of children referred for such studies has risen dramatically over recent years (personal correspondence British Paediatric Sleep Association) in line with NHS England national data that show a 50% increase in activity for sleep studies across all ages over the past 5 years.

In summary, Burke and colleagues highlight an important area for future research and service development. The question 'is one night of oximetry enough' to

Figure 1 Influence of oximeter device on motion artefact. Graphs of SpO₂ measured by three different oximeters during hand motion with subject breathing room air. False desaturations displayed by two instruments (hashed lines) compared with motion-resistant device (solid line). Reproduced with permission of the publisher Wolters Kluwer Health, Inc, from Barker.⁶

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Figure 2 Influence of the averaging time on the number of desaturations For an alarm threshold at 80% SpO₂ (straight line), an averaging time of 3 s (green) results in six desaturations, while an averaging time of 10 (red) or 16 s (blue) results in three and one desaturation(s), respectively. Reproduced from Vagedes *et al.*⁷

1. **Recommended diagnostic approaches**
 - Polysomnography (PSG) or cardiorespiratory polygraphy if child is at risk of SDB
 - If PSG or polygraphy is not available, ambulatory PSG or polygraphy, oximetry or Pediatric Sleep Questionnaire.
2. **Definition of OSA**
 - SDB symptoms in combination with obstructive apnoea/hypnoea index (AHI) ≥ 2 episodes/hour OR obstructive apnoea index ≥ 1 /hour
 - SDB symptoms in combination with obstructive apnoea index ≥ 1 /hour (including central events)
 - If AHI > 5 episodes/hour SDB is unlikely to resolve spontaneously and child is at risk of morbidity

Figure 3 European Task Force: objective diagnosis and assessment of SDB severity.³ OSA, obstructive sleep apnoea; SDB, sleep disordered breathing.

diagnose OSA could more usefully be reframed as 'is one night of oximetry too much..... without expert interpretation?' Oximetry as a diagnostic option for OSA is acknowledged by the European Respiratory Society Task Force³ as sometimes necessary in 'resource limited settings', but importantly, not as the default diagnostic approach. If oximetry is used, the question about night to night variability in respiratory events is an important

one and Burke's data indicate the need for further study, particularly in vulnerable populations. Further data on diagnostic test accuracy, particularly in high-risk groups such as Down syndrome, where oximetry could potentially offer a cost-effective and acceptable screening modality, may allow more targeted use of motion-resistant oximeters in the future. Practical guidance on the use of oximetry, incorporating the indications for, and

interpretation of, oximetry studies as part of an investigative pathway for sleep disordered breathing is lacking and should be developed. In the interim, we advocate that the recommendations from the European Task Force³ are widely adopted (figure 3) and that cardiorespiratory polygraphy services are further developed to meet the resource gap where full polysomnography facilities are absent.

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