Global and depth resolved phosphorus magnetic resonance spectroscopy to predict outcome after birth asphyxia

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Abstract

Twelve normal and 32 asphyxiated neonates were studied using global and depth resolved phosphorus magnetic resonance spectroscopy (^{31}P MRS). Eight of the asphyxiated group died or survived with major neurodevelopmental abnormalities. A global phosphocreatinine/inorganic phosphate (PCr/Pi) ratio below the range of values from normal infants predicted adverse outcome after asphyxia with a positive predictive value of 64%, sensitivity 88%, and specificity 83%. Corresponding values for global inorganic orthophosphate/adenosine triphosphate (Pi/ATP) ratios were positive predictive value 88%, sensitivity 96%, and specificity 88%.

Spatially localised MRS data, obtained using phase modulated rotating frame imaging, showed cerebral energy metabolism to be more abnormal in deep than superficial regions after birth asphyxia. However, in this population of full term infants none of the regional metabolite concentrations were superior to global data for prediction of outcome.

Phosphorus magnetic resonance spectroscopy (^{31}P MRS) is a non-invasive technique that can be used to measure intracellular pH and relative concentrations of phosphorus metabolites in vivo.¹ Studies of the brain of normal and asphyxiated infants have shown a characteristic pattern of changes in the brain after an episode of hypoxia-ischaemia.² As would be expected on theoretical grounds, phosphocreatine (PCr) falls and inorganic phosphate (Pi) rises in the postasphyxial brain. The PCr/Pi ratio therefore falls and in severe, usually fatal, cases adenosine triphosphate (ATP) depletion is also seen.³ A previous study comparing the outcome of infants after cerebral hypoxia-ischaemia to neonatal MRS results has shown that a low PCr/Pi ratio is highly predictive of poor outcome (positive predictive value 93%) but that some infants with a normal PCr/Pi also had a poor outcome.³ The limited sensitivity of that study (74%) could perhaps be attributed to the fact that conventional surface coil spectroscopy lacks any significant degree of spatial discrimination.

Phase modulated rotating frame imaging (PMRFI) is a modification of MRS that provides depth resolved biochemical data.⁴ We have previously reported a correlation between PMRFI results and severity of encephalopathy after birth asphyxia.⁵ The aim of the present study was to determine whether spatially localised PMRFI data improved the accuracy of global MRS for the prediction of death or

handicap in a larger group of asphyxiated infants.

Patients and methods

Full details of the MRS methods are described elsewhere.⁵ Studies were performed without sedation over a period of 30–45 minutes, usually after a feed. Major movements of the infant's head position during PMRFI were unusual, but did necessitate restarting data collection. The infant was positioned prone in the bore of a 1.9 tesla superconducting magnet, with the right side of the head resting on a foam pillow into which a surface coil was incorporated. The surface coil consisted of a 15 cm diameter transmitter and a 6.5 cm diameter receiver coil, and received ^{31}P MRS signals at a frequency of 32.7 MHz from temporoparietal brain. Two conventional 'pulse and collect' sequences (64 pulses, duration 275 μs , interval 3s) were recorded and, after profile correction and Fourier transformation, a 'global' spectrum was obtained. Figure 1(a) shows a typical global spectrum from a normal infant, with the peak assignments, and fig 2(a) shows a comparable global spectrum from a severely asphyxiated infant. Relative metabolite concentrations were calculated from spectra using a computer assisted curve fitting programme.

Depth resolved data were then obtained using PMRFI, which utilises the linear radiofrequency field produced by the transmitter coil to spatially encode spectral data.⁶ Three spectra were taken for analysis from each infant, from the data matrix produced by PMRFI. Each spectrum is derived from an approximately disc shaped volume of brain. Approximately 90% of the signal contributing to each spectrum comes from a disc of 1 cm depth and the same diameter as the receiver coil. Superficial, middle, and deep spectra were analysed, corresponding to signal from brain approximately 0–1, 1–2, and 2–3 cm below the skull. Figures 1(b), (c), and (d) show depth resolved spectra from a normal newborn and figs 2(b), (c), and (d) are comparable spectra from a severely asphyxiated infant.

Complete global and depth resolved data sets were recorded from 12 normal infants, gestation 38–42 weeks, Apgar scores 5–10 at 1 minute and 9–10 at 5 minutes, studied at 1–5 days old. Similar data were recorded from 32 infants studied after birth asphyxia. The table shows clinical details of the asphyxiated infants. Because of the small number of normal studies, values for metabolite ratios from asphyxiated infants were compared with the entire range of

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Figure 1 Global (a), and spatially localised magnetic resonance spectra from superficial (b), middle (c), and deep (d) regions of the brain of a normal infant. See text for details of localisation. Peak assignments are—ATP: adenosine triphosphate, PCr=phosphocreatine, PD=phosphodiester and phospholipid bilayers, Pi=inorganic orthophosphate, PM=phosphomonoesters. The three ATP peaks are β , α , and γ from right to left. β ATP may be underestimated on regional data for technical reasons.

Figure 2 Global (a), and spatially localised (b), (c), and (d) magnetic resonance data from the same regions as fig 1, from an asphyxiated baby with an adverse outcome studied at 4 days. Peak assignments are—ATP: adenosine triphosphate, PCr: phosphocreatine, PD: phosphodiester and phospholipid bilayers, Pi=inorganic orthophosphate, PM: phosphomonoesters. The three ATP peaks are β , α , and γ from right to left. β ATP may be underestimated on regional data for technical reasons.

Clinical details of asphyxiated infants. Results are median (range)

	Normal outcome (n=24)	Adverse outcome (n=8)
Gestation (weeks)	40 (35–42)	41 (36–42)
Age at MRS (days)	3 (1–6)	9 (3–16)
Apgar score		
1 minute	2 (0–7)	2 (0–5)
5 minutes	6 (0–10)	4 (0–8)
Cord artery pH	7.02 (6.66–7.26)	6.94 (6.68–7.10)
Cord artery base deficit (mmol/l)*	19 (9–28)	21 (14–28)
Survivors, major handicap	0	2
Neonatal deaths	0	4
Postneonatal deaths	0	2

*Cord artery blood gases were available on 22 normal and six adverse outcome infants.

values from normals, rather than using standard deviations or confidence intervals. All but two of the asphyxiated infants who survived the neonatal period were assessed neurodevelopmentally at regular intervals in hospital follow up clinics. The other two infants did not attend the hospital clinic but were assessed as completely normal on health visitor assessment at 18 months.

The study was approved by the Central Oxford Research Ethics Committee and the parents of all infants gave informed consent. Normal infants were recruited by discussing the project with groups of parents during antenatal classes. These parents were invited to contact the investigators via their midwife in the post-natal period.

Results
Eight asphyxiated infants had an adverse outcome. Four died in the neonatal period and two others, both severely neurologically abnormal, died at 11 weeks and 19 months. Two survived with major cognitive deficits and spastic quadriplegia at 37 and 38 months. Twenty four asphyxiated infants, now age 15–43 (median 31) months were neurodevelopmentally normal at their last assessment at 9–19 (median 13) months.
Global PCr/Pi and Pi/ATP ratios for all infants are shown in fig 3(a) and (b), which

Figure 3 (a) Global PCr/Pi ratios from normal infants and asphyxiated infants divided into groups according to outcome. Infants with adverse outcome differ from normals (Mann-Whitney test, $p<0.002$) and from asphyxiated infants with good outcome ($p<0.001$).

Figure 3 (b) Global Pi/ATP ratios from normal infants and asphyxiated infants divided into groups according to outcome. Infants with adverse outcome differ from normals (Mann-Whitney test, $p<0.002$), and from asphyxiated infants with good outcome ($p<0.001$).

show no significant differences in metabolite ratios between normal infants and those asphyxiated babies with a good outcome. The infants with adverse outcome form a population with a lower PCr/Pi and higher Pi/ATP ratio. Only one asphyxiated infant with adverse outcome had PCr/Pi in the range of normals. There were fewer 'false positive' results from infants with a normal outcome using Pi/ATP ratio as a predictor. Sensitivity, specificity, and positive predictive value for the prediction of death or handicap were 88%, 83%, and 64% respectively for PCr/Pi and 88%, 96%, and 88% for Pi/ATP.

Depth resolved PMRFI data for Pi/ATP are shown in fig 4(a), 4(b), and 4(c). Figure 4(a) shows a wide variation in superficial metabolite ratios from all infants. Spectra from middle and deep regions, shown in fig 4(b) and (c), show a significant difference between the adverse outcome group and normal infants or asphyxiated infants with a normal outcome. Depth resolved data did not, however, show any increased discrimination compared to global data. The best PMRFI discriminator was the PCr/Pi ratio from 2–3 cm below the skull, with sensitivity, specificity, and positive predictive value of 75%, 92%, and 75%.

Discussion

The majority of infants with clinical and biochemical evidence of birth asphyxia will either show no evidence of neurological abnormality in the newborn period or will exhibit only a mild encephalopathy.⁷ However a minority, about two infants per 1000 livebirths, will develop a moderate or severe hypoxic-ischaemic encephalopathy with seizures and nervous system depression which is variable in degree and duration.⁸ This group of infants has an approximately 50% incidence of adverse outcome, either death or significant neurodevelopmental delay.⁷

Rational management in the immediate neonatal period, when multiorgan failure requires intensive life support, would be greatly assisted if accurate prognostic tools were available. Simple clinical assessments of encephalopathy grading⁷ and time to attain sucking feeds⁹ are very predictive but retrospective and may be altered by drug treatment. Conventional techniques such as computed tomography¹⁰ and ultrasound¹¹ tend to show definitive changes after the first week of life. Earlier objective evidence of severe irreparable brain damage is important if parents are to be accurately counselled about

Figure 4 Spatially localised Pi/ATP ratios from superficial (a), middle (b), and deep (c) brain from normal infants and asphyxiated infants divided into groups according to outcome. Infants with adverse outcome are not significantly different from normal infants in superficial regions. They differ from normal infants in middle and deep regions (Mann-Whitney test, $p<0.002$) and from asphyxiated infants with a normal outcome ($p<0.001$ and $p<0.002$, middle and deep respectively).

the wisdom of pursuing intensive care in the first few days of life. Doppler studies of cerebral artery blood flow velocities,¹² electroencephalography,⁸ ³¹P MRS,³ and more recently near infrared spectroscopy¹³ have been used to assess cerebral status at an early stage, when clinical signs are often heavily masked by sedative and anticonvulsant drugs.

Azzopardi *et al* have shown that conventional ³¹P MRS within the first week of life has a high specificity and positive predictive value for the prediction of adverse outcome, so an abnormal magnetic resonance spectrum is clinically helpful. However, a normal PCr/Pi ratio is not necessarily reassuring, as reflected in the limited sensitivity reported in their study.³ Neonates with apparently normal cerebral energy metabolism in the postasphyxial period may later manifest symptoms suggestive of neuronal damage. The reason may be that conventional surface coil spectroscopy detects magnetic resonance signals from a large and ill defined volume of brain tissue, and the spectrum may be heavily biased by the high signal from superficial tissues. Regions of impaired energy metabolism may therefore escape detection in the global spectrum, especially if they lie deeper in the brain.

PMRFI is one of a variety of techniques for spatial localisation of MRS data,¹⁴ and its use for the investigation of regional cerebral metabolism in the neonate has been fully described in relation to severity of neonatal encephalopathy.⁵ That study showed that the maximum region of energy impairment in severely asphyxiated full term infants corresponds approximately to subcortical white matter, and suggested that localised spectra from this particularly vulnerable region should be of greater prognostic value than global data. The present study explores that hypothesis by comparing global and regional metabolite ratios as indicators of short term neurodevelopmental outcome for a group of asphyxiated neonates. Only one hemisphere was studied, as none had ultrasound evidence of focal abnormality or asymmetry. There are obvious limitations to this study in respect of the small numbers of subjects, especially controls, and the short duration of follow up. Ninety two percent of asphyxiated infants have received regular clinical examinations in hospital based follow up clinics, but have not been psychometrically or neurodevelopmentally assessed by independent observers, and the youngest are only 15 months old. It is therefore possible that mild or even moderate neurodevelopmental deficits, especially in cognitive areas, may subsequently become apparent in the group designated as having a normal outcome in this study. However, infants in this group are very unlikely to have significant cerebral palsy, in contrast to the adverse outcome group, of whom the two survivors both have severe cognitive and motor deficits.

Figure 3(a) confirms previous studies that show that a low global PCr/Pi ratio, implying impaired cerebral energy metabolism, is strongly associated with adverse outcome. In this study, global Pi/ATP ratio seemed to discriminate

slightly better than PCr/Pi between the groups of asphyxiated infants with normal and adverse outcome. This unexpected finding may result from the small numbers of infants in this study. Theoretically, PCr concentrations would be expected to fall before ATP depletion occurred, so the PCr/Pi ratio should be a more sensitive index of abnormality than Pi/ATP ratio. In this study, only one severely asphyxiated infant showed significant ATP depletion. This infant was studied at 3 days and died at 6 days and the magnetic resonance spectra was grossly abnormal with no detectable PCr or ATP. As reported previously in a smaller group of infants, spatially localised data clearly show a wide variation in metabolite ratios from superficial brain in both normal and asphyxiated infants.⁵ This is unlikely to be artefactual because the superficial PMRFI spectra have the best signal to noise ratio. Energy impairment was most apparent in deeper brain tissue in the group with subsequent adverse outcome. The sample volumes interrogated to provide the depth resolved spectra do not conform to anatomical boundaries, so it is impossible to be precise about which tissues are represented in each spectrum. Superficial spectra will contain signals from cortex as well as subcortical white matter, middle spectra will represent predominantly subcortical white matter, and deep spectra will also contain signals from periventricular areas.

Prognostic sensitivity of the global metabolite ratios was high and was not improved by obtaining regional information using PMRFI. Only one infant with an adverse outcome had global PCr/Pi and Pi/ATP ratios in the normal range, perhaps because the MRS study was delayed until 9 days. All the spatially localised metabolite ratios from that infant were also within normal limits. These results confirm that conventional ³¹P MRS can be a useful prognostic technique after birth asphyxia. In this small study of full term infants, the depth resolved information provided by PMRFI did not improve prediction of adverse outcome.

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Aspirin to prevent fetal growth retardation

The underlying placental dysfunction is apparently similar in pre-eclampsia and in idiopathic fetal growth retardation, with placental vascular disease and abnormalities of prostaglandin and thromboxane production. Low dose aspirin could help by its effect on intravascular thrombosis and on thromboxane concentrations, low concentrations of thromboxane being associated with decreased pressor response to angiotension II.

A recent French multicentre trial (S Uzan and colleagues, *Lancet* 1991;**337**:1427–31) has shown that low dose aspirin reduces the incidence of fetal growth retardation and of maternal proteinuria.

Patients were enrolled into the trial at 15 to 18 weeks of pregnancy. All had had either fetal growth retardation in their one previous pregnancy or a poor outcome in two previous pregnancies, at least one of which was fetal growth retardation, poor outcome being defined as a small for dates baby, fetal death, or placental abruption. When those given placebo were compared with those treated with either aspirin alone or aspirin plus dipyridamole, the mean birth weight was significantly lower in the placebo group (2526 g v 2751 g, $p=0.029$, 95% confidence interval for difference 129 to 321 g). The mean duration of pregnancy was 36 weeks six days in the placebo group and 37 weeks five days in the treated group ($p=0.05$). Fetal growth retardation occurred in 26% of patients given placebo and 13% of those given treatment. Fetal death and placental abruption were also less common in the treatment group but the numbers were small and the differences did not reach statistical significance. There was, however, a significant reduction in maternal proteinuria (11% v 3% $p<0.02$). The greatest effect of treatment was seen in those mothers who had had a poor outcome in more than one previous pregnancy. A separate trial of aspirin alone against aspirin plus dipyridamole showed no significant differences.

Although the results seem encouraging, the authors are very cautious. They clearly fear misuse of their findings and their conclusion is worth quoting: 'thus, it now seems justifiable to propose aspirin treatment for any patient considered at high risk, even if in her first pregnancy. On the other hand, massive use of aspirin by millions of pregnant women yearly certainly cannot be recommended'.

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