CURRENT TOPIC

Treatment of congenital lactic acidosis with dichloroacetate

Peter W Stacpoole, Carie L Barnes, Matthew D Hurbanis, Sterling L Cannon, Douglas S Kerr

The term congenital lactic acidosis (CLA) refers to a group of inborn errors of mitochondrial metabolism variably characterised by progressive neuromuscular deterioration and accumulation of lactate and hydrogen ions in blood, urine and/or cerebrospinal fluid, frequently resulting in early death. The incidence and prevalence of CLA are unknown, although it has been estimated that there are approximately 250 new cases recognised in the US per year (personal communication). Thus, with an estimated annual mortality attrition rate of 20%, at least 1000 cases exist in the general US population.

Recent diagnostic advances have allowed the biochemical or molecular identification of specific enzyme defects in the majority of infants and children with CLA. Most identifiable cases involve inherited or spontaneous mutations in the pyruvate dehydrogenase complex (PDC) or in one or more enzymes of the respiratory chain. A few cases have been reported that involve deficiencies in enzymes of the tricarboxylic acid cycle, such as fumarase, or of gluconeogenesis, such as pyruvate carboxylase (PC) or phosphoenolpyruvate carboxykinase (PEPCK). In a substantial number of patients, however, the specific biochemical defect fails to be determined by established techniques.

Hyperlactataemia is the defining biochemical abnormality in children with CLA and, in the absence of hypoxia, should be considered a surrogate marker for underlying failure of mitochondrial energy metabolism. This concept is most readily appreciated by considering mitochondrial enzyme deficiencies. Figure 1 summarises the major steps of carbohydrate oxidation in mammalian cells. Note that the oxidative fate of pyruvate is to be irreversibly decarboxylated to acetyl CoA. This reaction is catalysed by PDC, a series of linked enzymes located in the inner mitochondrial membrane (fig 2). Under aerobic conditions, the activity of PDC determines the rate at which all cells oxidise glucose, pyruvate, and lactate.

PDC is regulated in part by reversible phosphorylation, in which the phosphorylated enzyme is inactive. PDC kinase catalyses the phosphorylation (inactivation) of PDC, thus inhibiting pyruvate oxidation. Persistent glycolysis without pyruvate oxidation leads to accumulation of lactate. Every molecule of lactate produced is accompanied by the generation of a hydrogen ion. However, hyperlactataemia is not necessarily associated with acidemia, since the arterial blood pH may be normal, raised or depressed, depending on the overall clinical state and compensating respiratory mechanisms.

Mutations of subunits of the PDC system that impair the activity of the enzyme complex are likely to lead to lactate accumulation. Another probable consequence of dysfunctional PDC is cellular energy failure, due to the inability to oxidise carbohydrate and generate reducing equivalents (NADH, FADH₂) required for oxidative phosphorylation, which produces ATP. This may be particularly critical to certain tissues, such as the nervous system, that rely on a high rate of oxidative glucose metabolism for normal function. It is not surprising, therefore, that developmental and degenerative neuropathology account for the cardinal clinical manifestations of PDC deficiency.

Patients with defects of the electron transport chain also develop abnormal lactate accumulation and are vulnerable to cellular energy failure. Impaired electron transfer through the respiratory chain prevents oxidation of NADH and FADH₂ produced by the PDC reaction and the tricarboxylic acid cycle, and ATP levels fall. The consequent rise in the intramitochondrial NADH/NAD⁺ ratio inhibits PDC activity, pyruvate oxidation decreases, and pyruvate is converted to lactate, resulting in an increased lactate/pyruvate ratio.

PDC mutations are very heterogeneous. Most arise within the coding region of the α-subunit of pyruvate dehydrogenase (the E₁ component), the gene for which is located on chromosome X. A few mutations also involve the gene for dihydrolipoamide dehydrogenase (the E₂ component), which is located on chromosome 7. Although the majority of subunits of the various complexes of the electron transport chain are encoded by nuclear genes, virtually all mutations that have been characterised at the molecular level are the result of substitutions, deletions or rearrangements of mitochondrial DNA (mtDNA). mtDNA is exclusively maternally inherited. Because of its small
for marked heterogeneity in the phenotypic expression of the mutation, both among tissues of an affected individual and among individuals who harbour the same mutation, will reflect differences in the rate at which a ‘threshold’ dose of the mutation is reached. 3

The variable clinical manifestations of CLA have been extensively reviewed.1–4 Patients who die during the neonatal period typically present with fulminant lactic acidosis and presumably have a profound deficiency in the activity of the affected enzyme, although this may be variably expressed in cultured fibroblasts or lymphocytes.1,2,4 In less severely affected patients, however, the magnitude of the enzyme deficit measured in cultured cells correlates rather poorly with other biochemical and clinical manifestations of the disease. This ‘fact of life’ about CLA is frustrating, but predictable, because of the variable expression of the biochemical defect and the difficulty in biopsying the most relevant tissues. Enzymological studies in skeletal muscle tissue can often be diagnostic, particularly for electron transport disorders. This is accomplished, under optimal conditions, by isolating mitochondria from a fresh biopsy specimen. Molecular genetic studies in patients with CLA offer great diagnostic potential,1,4 but their complexity emphasises both the heterogeneity in clinical presentation and course among individuals with the same mutation and the existence of different mutations among patients with the same phenotype.

Conventional treatment
Treatment of most patients with CLA has been uniquely disappointing and has been approached in a sporadic, uncontrolled manner. As recently reviewed,1 most interventions have sought to provide alternate dietary substrate fuels and/or vitamins and other cofactors that might stimulate residual enzyme activity or circumvent the enzyme defect. Carnitine, thiamin, biotin, lipote, riboflavin, coenzyme Q, tocopherol, and vitamin K plus ascorbate have been the most commonly used agents. Administration of large doses of biotin is dramatically successful in cases of biotinidase deficiency.5 For the remaining vitamins and cofactors, however, clear benefit has been reported for only a very few individuals.

Patients with PDC deficiency do not oxidise carbohydrate efficiently, and carbohydrate containing meals may exacerbate or precipitate lactic acidosis.2,4 This has led to the use of high fat diets that induce ketosis and provide an alternative source of acetyl CoA. Ketogenic diets have been observed to reduce hyperlactataemia and to improve short term neuromuscular function in infants and children with proved PDC deficiency.6,11 The use of high fat diets seems rational on biochemical grounds and they are now generally recommended for such patients. Nevertheless, the long term benefit of this difficult nutritional treatment is limited.11
**Dichloroacetate for congenital lactic acidosis**

**BACKGROUND AND RATIONALE**

An extensive literature on the pharmacological effects of dichloroacetate (DCA) attests to its potential safety and efficacy in treating lactic acidosis in humans. The primary mechanism is probably activation of PDC, thereby accelerating the oxidation of glucose, lactate, and pyruvate to acetyl CoA. DCA is a potent inhibitor of PDC kinase, thus 'locking' PDC in its unphosphorylated, catalytically active form. An oral dose of DCA is quickly and almost completely absorbed. The drug readily crosses the blood-brain barrier and other plasma membranes, probably via the monocarboxylate transport system that also facilitates pyruvate and lactate uptake by cells. In vivo and in vitro animal investigations indicate that stimulation of PDC by DCA occurs within minutes of administration in virtually all tissues, except perhaps in testes and small intestine.

Table 1 summarises the clinical and experimental conditions in which a lactate lowering effect of DCA has been demonstrated. A controlled clinical trial of intravenous DCA versus placebo in critically ill adults with various acquired causes of lactic acidosis, such as hypotension and sepsis, showed that the drug significantly improved morbidity but did not alter survival. In African children with *Plasmodium falciparum* malaria, hyperlactatemia is one of the strongest biochemical predictors of death and is associated with a mortality up to 40%.

DCA rapidly decreases lactic acidosis in such patients and significantly improves survival in a rodent model of malaria associated lactic acidosis. This is the first and only experimental demonstration that any intervention favourably affects survival in lactic acidosis of any aetiology.

**CLINICAL EXPERIENCE IN CLA**

We are aware of 53 infants and children with CLA who have received oral or intravenous DCA (table 2). This information was accumulated from published reports, personal communications, and personal experience through May 1996. Most patients were male and were treated during early childhood. There was wide variation in drug dose and treatment duration. For most of the patients who received chronic DCA by mouth, the usual daily dose was 25–50 mg/kg. Higher doses, typically >100 mg/kg/day, were frequently administered to patients receiving intravenous DCA for periods of one day or a few days, which in large part accounts for the relatively high mean daily drug dose reported in table 2. It follows that the duration of DCA administration has also been highly variable. Nevertheless, 12 patients have received DCA for at least one year and three have received the drug for at least five years. Thus, the cumulative DCA treatment experience in CLA infants and children equals 41 patient years.

A biochemical response to DCA administration could be determined in 49 patients and was defined as a decrease in arterial or venous blood (or plasma or serum) lactate or cerebrospinal fluid lactate of at least 20% from the pretreatment lactate level. Based on this criterion, 38 patients (76%) had a biochemical response, determined by serial measurements in blood only (27 patients) or in both blood and cerebrospinal fluid (11 patients). In our experience of administering intravenous or oral DCA to over 200 paediatric and adult patients with acquired or congenital lactic acidosis, circulating lactate concentrations usually fall at least 20% within six hours of the initial dose, and often within two hours after single intravenous infusion of 50 mg/kg. Higher doses, typically >100 mg/kg/day, were frequently administered to patients receiving intravenous DCA for periods of one day or a few days, which in large part accounts for the relatively high mean daily drug dose reported in table 2. It follows that the duration of DCA administration has also been highly variable. Nevertheless, 12 patients have received DCA for at least one year and three have received the drug for at least five years. Thus, the cumulative DCA treatment experience in CLA infants and children equals 41 patient years.

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Information on the subsequent clinical course of patients receiving DCA was obtained in 39 cases in sufficient detail to determine that...
Phenotype

Progenitor cell

Clonal mtDNA proliferation and cytokinesis

Random segregation

Random segregation

Phenotype

Threshold for phenotype expression

Figure 3 Replicative segregation of mitochondrial (mt) DNA. Random segregation of mitochondria during cell division ensures unequal distribution of normal (open ovals) and mutant (solid ovals) mtDNA among daughter cells. N represents the nucleus. (Reprinted, with permission, from Shoffner JM, Wallace DC. Oxidative phosphorylation diseases. Disorders of two genomes. Adv Hum Genet 1990;19:267-330.)

Table 1 Conditions in which the lactate lowering effect of DCA has been demonstrated

<table>
<thead>
<tr>
<th>Condition</th>
<th>Animals</th>
<th>Humans</th>
</tr>
</thead>
<tbody>
<tr>
<td>CLA</td>
<td>+</td>
<td></td>
</tr>
<tr>
<td>Malaria</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Diabetes mellitus</td>
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<td>+</td>
</tr>
<tr>
<td>Hypoxia</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Hypotension</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Heart failure</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Endothoenaemia</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Bacterial infection</td>
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<td>+</td>
</tr>
<tr>
<td>Cancer</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Liver failure</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Renal failure</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Catecholamine excess</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>Exercise</td>
<td>+</td>
<td>+</td>
</tr>
</tbody>
</table>

From Stacpoole et al.15 and Krishna et al.16

at least 15 patients (38%) showed some clinical improvement. This number likely underestimates the true response frequency because, in most of the remaining subjects, the duration of treatment and number of doses administered were too brief or the clinical descriptions were too abbreviated to warrant conclusions about clinical efficacy.

A clinical response was defined in terms of either general physical status (for example, improved vital signs, muscle tone or exercise endurance, or decreased frequency or severity of hospitalisations) or neurological condition (for example, improved cognition or evoked potentials, decreased frequency of stroke-like episodes, or slowing or stabilisation in the rate of neurological deterioration). The following case histories are illustrative.

Case 1

A 15 year old boy with MELAS experienced visual and auditory hallucinations, delirium and violent behaviour, associated with raised blood and cerebrospinal fluid lactate concentrations. Oral administration of DCA, initially at a dose of 100 mg/kg/day, followed by a maintenance dose of 25 mg/kg/day for eight months, rapidly reduced blood and cerebrospinal fluid lactate to normal, during which time the hallucinations disappeared and his behaviour improved.

Case 2 (personal communication)

An 11 year old girl with MELAS had persistent lactic acidosis (venous blood lactate < 10 mmol/l), growth retardation, muscular fatigue, and frequent bouts of acid-base decompensation requiring hospitalisation. Treatment with ≤ 25 mg/kg/day in two divided doses led within one week to resolution of her acidosis. Weight gain and linear growth accelerated and she grew over six inches during the initial 12 months of treatment. This patient continued to receive oral DCA for ~ 5 years. Drug associated potential adverse effects were limited to an approximately twofold increase of serum transaminases. The patient died after an acute episode of pneumonia.

Case 3 (personal observation)

An 18 month old boy with PDC deficiency was referred to the University of Florida because of intermittent lactic acidosis (venous blood lactate < 10 mmol/l) and profoundly delayed neuromuscular development, characterised by generalised floppiness, inability to turn over, crawl, or sit up without assistance, and inattentiveness to auditory and visual stimuli. Twenty four hours after initiating oral DCA at a dose of 25 mg/kg twice daily, blood lactate fell 40% and the patient was notably more alert and responsive to auditory and visual stimuli. On the third day of treatment the child, for the first time, was able to rise, unaided, from recumbency and to sit upright. Improvement in behavioural and neuromuscular development continued over the ensuing two months of treatment, until the patient died of a fulminant influenza B virus infection.

Case 4

A 9 month old boy with E1 (dihydrolipoamide dehydrogenase) deficiency and recurrent hospitalisations for loss of appetite, vomiting, and lactic acidosis was treated with ≤ 30 mg/kg oral DCA twice daily, for over four years (combined with thiamin and carnitine) with resolution of hyperlactataemia and maintenance of normal language development and other tests of neurological function at age 5 years.

Case 5

A 1 month old boy with cytochrome oxidase deficiency developed lactic acidosis (blood lactate 15 mmol/l), weakness, lack of ocular fixation and marked hypertrophic cardiomyopathy by echocardiography. Administration of oral DCA, at a dose of 150 mg/day in three divided doses, led to normalisation of blood lactate within 24 hours, which persisted on a maintenance drug dose of 25 mg/kg/day. When DCA was withdrawn for 12 hours, hyperlactataemia recurred. Continuous DCA administration over the ensuing nine months was associated with normalisation of cardiac size, wall thickness, and left ventricular function.
physical and neurological development (including auditory and somatosensory evoked potentials) also remained normal.

Nineteen of the 53 patients (36%) whose data comprise table 2, including each case summarised above, were treated with one or more dietary or other nutritional regimens concomitant with DCA, most often thiamin, carnitine and, in PDC deficiency, ketogenic diets. In most instances, however, these interventions preceded DCA administration and did not appear to improve the patient’s biochemical or clinical condition.

Table 3 correlates the pharmacodynamic effects of DCA in the 53 CLA patients with the reported enzymatic molecular defects, if known, or with an apparent clinical syndrome in the absence of a biochemically proved defect. Accepting the accuracy of the diagnoses, DCA was effective in reducing blood or cerebrospinal fluid lactate from pretreatment levels after DCA administration. A clinical response is defined as an improvement in exercise endurance or in neuromuscular, neurobehavioural or cardiac function, stimulation of linear growth, or reduction in the severity and/or frequency of acid-base compensation.

<table>
<thead>
<tr>
<th>Diagnosis</th>
<th>No of cases</th>
<th>Biochemical response (yes/no)</th>
<th>Clinical response (yes/no)</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>PDC (unspecified)</td>
<td>10</td>
<td>7/3</td>
<td>2/2</td>
<td>23, 24, 27,*</td>
</tr>
<tr>
<td>PDC E1</td>
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<td>0/4</td>
<td>0/4</td>
<td>25-28,*</td>
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<tr>
<td>PDC E2</td>
<td>1</td>
<td>0/1</td>
<td>0/1</td>
<td>29</td>
</tr>
<tr>
<td>Complex I</td>
<td>1</td>
<td>1/0</td>
<td>1/1</td>
<td>21,*</td>
</tr>
<tr>
<td>Complex IV</td>
<td>1</td>
<td>1/0</td>
<td>1/0</td>
<td>30</td>
</tr>
<tr>
<td>Complex I, IV</td>
<td>6</td>
<td>3/3</td>
<td>1/4</td>
<td>12, 27, 31, 32, 46</td>
</tr>
<tr>
<td>Complex III</td>
<td>1</td>
<td>0/0</td>
<td>0/0</td>
<td>32</td>
</tr>
<tr>
<td>PC, PEPC</td>
<td>2</td>
<td>2/0</td>
<td>0/2</td>
<td>33</td>
</tr>
<tr>
<td>Leigh’s syndromes</td>
<td>2</td>
<td>2/0</td>
<td>0/1</td>
<td>34,*</td>
</tr>
<tr>
<td>MELAS†</td>
<td>5</td>
<td>4/1</td>
<td>3/1</td>
<td>19, 20, 35, 36,*</td>
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<tr>
<td>MERRF</td>
<td>4</td>
<td>4/0</td>
<td>1/2</td>
<td>37, 38, 46</td>
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<tr>
<td>Pearson’s syndrome</td>
<td>1</td>
<td>1/0</td>
<td>1/0</td>
<td>*</td>
</tr>
<tr>
<td>Unknown</td>
<td>12</td>
<td>11/1</td>
<td>5/5</td>
<td>12, 37, 39-44, 47,*</td>
</tr>
</tbody>
</table>

*Personal communication.
†In two patients with MELAS, there was insufficient information to determine either biochemical or clinical response to DCA.

Dichloroacetate for congenital lactic acidosis

**Table 3 Efficacy of DCA in 53 patients with CLA as a function of the biochemical or clinical syndrome**

**Table 2 DCA treatment of 53 infants and children with CLA**

<table>
<thead>
<tr>
<th>Subject</th>
<th>No of evaluate subjects</th>
<th>Sex (M/F)</th>
<th>Mean (range) age at start of treatment</th>
<th>Mean (range) dose (mg/kg/day)</th>
<th>Mean (range) treatment duration (months)</th>
<th>Response (yes/no)</th>
<th>Biochemical</th>
<th>Clinical</th>
</tr>
</thead>
</table>
A unifying hypothesis for CLA and its treatment

The major subtypes of CLA, while heterogeneous, nevertheless are characterised by fundamental defects in mitochondrial energetics, that is, impaired pyruvate (hence, lactate) oxidation. Therefore, it should be possible to quantify, compare, and contrast the phenotypic expressions of these fundamental defects by applying standardised clinical and biochemical tests to the CLA population in general. These variable defects of pyruvate oxidation, regardless of their precise location in the pathways of mitochondrial metabolism, may be responsive to intervention at a critical site in intermediary metabolism, specifically, at the level of PDC. These concepts are consistent with, and support, the rationale for considering DCA as a treatment for CLA. Moreover, they form the crux of the hypothesis that chronic administration of DCA to infants and children with CLA should enhance energy metabolism and, hence, cell function. Consequently, DCA may improve the quality of life of patients with CLA by (1) reducing frequency, duration, and severity of hospitalisations due to acid-base decompensation, (2) improving neurological function, and (3) stimulating linear growth.

To test these hypotheses, a randomised, placebo controlled clinical trial of DCA is now being conducted in children with biochemical and/or molecular genetic evidence of a defect in PDC or in one or more enzymes of the Krebs cycle or the respiratory chain. This is the first controlled clinical trial of any putative treatment for CLA. It also offers the first opportunity to prospectively track the natural history and course of these rare diseases in a large group of patients while they receive placebo, and should thus provide new insights into the relationships between biochemical defects and clinical phenotypes. Finally, we anticipate this investigation will provide a foundation on which to construct rigorously controlled trials of newer possible therapies for CLA as they evolve.

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