Sleeping position for infants and cot death in the Netherlands 1985-91

Guus A de Jonge, Ruud J F Burgmeijer, Adèle C Engelberts, Jan Hoogenboezem, Pieter J Kostense, Arwen J Sprij

Abstract
Until the early 1970s the traditional sleeping position for Dutch infants was not prone. After a much publicised lecture in October 1987 on the possible relation between sleeping prone and cot death, the fairly new habit of placing infants prone is being replaced by more traditional positions. The decrease in the prevalence of the prone sleeping position has been documented in six studies. Since 1987 the incidence of registered cot deaths has decreased from 1·04/1000 live births in 1986 to 0·44 in 1991; the real decrease of sudden unexpected death in infancy, however, is greater.

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After 1971, increasing numbers of Dutch children were placed in a prone position in bed. In the same period (1972-5) the incidence of sudden unexpected death in infancy more than doubled. On 21 October 1987, a medical lecture was given on the statistically highly significant association between cot death and a prone sleeping position. This was the result of our first Dutch study with unmatched and matched reference infants, indicating that for infants the prone sleeping position was potentially dangerous. The next day the Nationale Kruisvereniging (at the time the organisation which regulated the Dutch well baby clinics and maternity home care) recognised the importance of this issue and a policy of non-prone sleeping positions for infants was recommended. What was the impact of this advice in daily baby care in the following years, and to what extent has the incidence of cot death changed in the Netherlands since 1987?

Sleeping positions
The prevalence of the sleeping positions of infants in the Netherlands was mainly determined in four nationwide surveys in well baby clinics, which in this country are regularly attended by at least 95% of infants. The accompanying person (mostly the mother) was asked in what position the infant (age younger than 270 days) was put down to sleep during the last four weeks, with a choice of seven possibilities: always prone; always on a side; always supine; sometimes prone and sometimes on a side, sometimes prone and sometimes supine; sometimes on a side and sometimes supine; sometimes prone, sometimes on a side, and sometimes supine. This question was asked separately for sleep during the day and at night. In most surveys, sex, age, birth order, and birth weight were recorded in a consecutive series of 20-25 infants. The surveys took place in May 1987, November 1988, November 1990, and November 1992.

A fifth study in which information was gathered on sleeping position was a regional study among healthy term infants delivered by three groups of midwives in the first nine months of 1987. Similar questions as in the well baby clinic studies were asked in a self administered questionnaire in January/February 1988 about the three week period just before 21 October 1987. The response rate was 92%. The sixth source of information is the data on the reference group in the epidemiological national study of cot death in the Netherlands in the period September 1985 to September 1987 performed by Engelberts. The referents were chosen at random in municipalities of at least 20000 inhabitants. Engelberts gathered information on the sleeping position in the first six months of life using a self administered questionnaire.

The results of these six surveys are given in tables 1-3. The following aspects are of note.

SEX
In all six surveys more boys than girls were placed prone always or sometimes for sleep. This difference was evident over the whole period of nine months. The background of this curious phenomenon is unknown.

AGE
Generally there was a slight increase in the prone position with age. The prevalence was higher after the first 5 to 6 months than before this age.
Sleeping position and cot death

Table 2  Infants (age 0–9 months) always or sometimes put to sleep in a prone position versus birth order and sex; national surveys of sleeping positions in the Netherlands in 1985–7, 1988, 1990, and 1992

<table>
<thead>
<tr>
<th>Birth order</th>
<th>1985–7 (%)</th>
<th>1988 (%)</th>
<th>1990 (%)</th>
<th>1992 (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>M</td>
<td>138 (59-4)</td>
<td>391 (20-7)</td>
<td>402 (12-2)</td>
<td>712 (7-6)</td>
</tr>
<tr>
<td>F</td>
<td>96 (43-8)</td>
<td>404 (17-8)</td>
<td>394 (9-4)</td>
<td>666 (7-4)</td>
</tr>
<tr>
<td>M</td>
<td>142 (50-1)</td>
<td>331 (16-3)</td>
<td>283 (24-7)</td>
<td>561 (10-3)</td>
</tr>
<tr>
<td>F</td>
<td>86 (52-8)</td>
<td>309 (28-2)</td>
<td>291 (16-8)</td>
<td>541 (7-6)</td>
</tr>
<tr>
<td>3–9 M</td>
<td>60 (50-0)</td>
<td>183 (37-7)</td>
<td>175 (24-0)</td>
<td>278 (16-5)</td>
</tr>
<tr>
<td>F</td>
<td>45 (40-0)</td>
<td>145 (30-3)</td>
<td>165 (20-6)</td>
<td>272 (11-4)</td>
</tr>
</tbody>
</table>

*In the third month of life.

Table 3  Infants (age 0–9 months) always or sometimes put to sleep in a prone position and birth weight below 2500 g; national surveys of sleeping positions in the Netherlands in 1985–7, 1988, 1990, and 1992

<table>
<thead>
<tr>
<th>Birth weight (g)</th>
<th>1985–7 (%)</th>
<th>1988 (%)</th>
<th>1990 (%)</th>
<th>1992 (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;2500</td>
<td>22 (77-3)</td>
<td>75 (37-3)</td>
<td>77 (19-5)</td>
<td>181 (10-5)</td>
</tr>
<tr>
<td>≥2500</td>
<td>531 (62-5)</td>
<td>1688 (26-4)</td>
<td>1636 (16-3)</td>
<td>2849 (9-1)</td>
</tr>
</tbody>
</table>

DECREASE AFTER OCTOBER 1987

After October 1987 the prevalence of the prone sleeping position in infancy decreased all over the country. Roughly speaking, the mean prevalence decreased from 60% in 1985–7 to 27% in 1988, 16% in 1990, and 10% in 1992. In the period 1985–90 the percentage of young infants (age 0–90 days) put to sleep on their side increased markedly.

BIRTH ORDER

In the last four national surveys, birth order was noted. After 1987 a marked difference was found for both sexes in the prevalence of the prone position between first born infants and infants with a higher birth order. This finding reflected the greater acceptance of the new advice by parents of first born infants than by parents who already had children. In 1992 the difference in sleeping position by birth order had shifted from second born infants to third born infants, which indicates that this difference will disappear in the future.

BIRTH WEIGHT

A low birth weight was associated with a higher prevalence of being placed in a prone sleeping position. This finding was in keeping with the long maintained practice of Dutch neonatologists to continue a prone position for preterm infants after the first weeks of life.

Incidence of cot death

DECREASE AFTER OCTOBER 1987

The incidence of registered postperinatal cot death/sudden infant death syndrome (SIDS) (ICD 798-0) decreased from 1-04/1000 live births in 1986 to 0-44 in 1991 (table 4). In the same period the number of several related registered causes of death also decreased consistently. Although there was some steady increase in the number of live births the total number of registered deaths in the categories acute respiratory infections (ICD 460–6), pneumonia/influenza (ICD 480–7), and bronchitis/emphysema/asthma (ICD 490–6) more than halved. This reflects the World Health Organisation classification rules: when a death is classifiable under ICD codes 780–99, which include cot death, and a disorder classifiable elsewhere is also reported, the latter takes precedence and the cause of death is reselected. Owing to this practice a number of cot death cases are hidden in other categories, including the respiratory infections. The same holds true for inhalation and ingestion of food (ICD E911) and accidental mechanical suffocation in bed or cradle (ICD E913-0). Consequently, the real decrease in cot deaths is greater than reflected by the classification 'cot death/SIDS'. Therefore, the decrease of postperinatal mortality from 3-9 in 1985–6 to 2-7 in 1991 (after a long period of only minor changes in this death rate) appears to be mainly caused by this extended decrease in sudden unexpected death.

SEX

As in nearly all studies on cot death, in the Netherlands more male than female infants are affected. In these years the sex ratio varied between 1:2 (1985–6) and 1:6 (1988–9).

AGE

As in all statistics of cot death, most cases occurred in the first six months of life, especially in the second, third, and fourth

Table 4  Number of deaths in the Netherlands of infants aged 1 week to 1 year in each mortality category (ICD 9th revision), 1985–91 (Netherlands Central Bureau of Statistics, Voorb urg)

<table>
<thead>
<tr>
<th></th>
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<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>460-6</td>
<td>Acute respiratory infections</td>
<td>15</td>
<td>19</td>
<td>7</td>
<td>7</td>
<td>10</td>
<td>9</td>
<td>1</td>
</tr>
<tr>
<td>480-7</td>
<td>Pneumonia and influenza</td>
<td>24</td>
<td>28</td>
<td>24</td>
<td>14</td>
<td>13</td>
<td>8</td>
<td>12</td>
</tr>
<tr>
<td>490-6</td>
<td>Bronchitis, emphysema, asthma</td>
<td>3</td>
<td>5</td>
<td>3</td>
<td>1</td>
<td>3</td>
<td>3</td>
<td>0</td>
</tr>
<tr>
<td>780-9</td>
<td>Symptoms</td>
<td>1</td>
<td>0</td>
<td>2</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>789-0</td>
<td>Cot death/SIDS</td>
<td>191</td>
<td>191</td>
<td>170</td>
<td>108</td>
<td>133</td>
<td>110</td>
<td>88</td>
</tr>
<tr>
<td>799</td>
<td>Other ill defined and unknown causes</td>
<td>27</td>
<td>21</td>
<td>25</td>
<td>15</td>
<td>14</td>
<td>36</td>
<td>24</td>
</tr>
<tr>
<td>801</td>
<td>Accidental death</td>
<td>14</td>
<td>11</td>
<td>9</td>
<td>6</td>
<td>2</td>
<td>10</td>
<td>5</td>
</tr>
<tr>
<td>891-0</td>
<td>Accidental mechanical suffocation</td>
<td>10</td>
<td>12</td>
<td>7</td>
<td>6</td>
<td>2</td>
<td>8</td>
<td>5</td>
</tr>
<tr>
<td>E960-9</td>
<td>Infanticide</td>
<td>3</td>
<td>3</td>
<td>2</td>
<td>5</td>
<td>0</td>
<td>3</td>
<td>0</td>
</tr>
<tr>
<td>Live births (×1000)</td>
<td>178</td>
<td>185</td>
<td>187</td>
<td>187</td>
<td>189</td>
<td>198</td>
<td>199</td>
<td></td>
</tr>
<tr>
<td>(1 week to &lt;1 year)</td>
<td>726</td>
<td>687</td>
<td>676</td>
<td>596</td>
<td>558</td>
<td>621</td>
<td>544</td>
<td></td>
</tr>
<tr>
<td>Cot death/SIDS per 1000 live births</td>
<td>1-07</td>
<td>1-04</td>
<td>0-91</td>
<td>0-58</td>
<td>0-70</td>
<td>0-56</td>
<td>0-44</td>
<td></td>
</tr>
<tr>
<td>(1 week to &lt;1 year)</td>
<td>4-08</td>
<td>3-74</td>
<td>3-66</td>
<td>3-18</td>
<td>2-94</td>
<td>3-14</td>
<td>2-74</td>
<td></td>
</tr>
<tr>
<td>First week mortality</td>
<td>3-9</td>
<td>4-0</td>
<td>3-9</td>
<td>3-6</td>
<td>3-9</td>
<td>4-0</td>
<td>3-8</td>
<td></td>
</tr>
<tr>
<td>Infant mortality</td>
<td>6-0</td>
<td>7-7</td>
<td>7-6</td>
<td>6-8</td>
<td>6-8</td>
<td>7-1</td>
<td>6-5</td>
<td></td>
</tr>
</tbody>
</table>

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month. It is in this age group that the recent decrease was most pronounced. Compared with the mean incidences of cot death in 1985–6 the decrease in 1991 in the first half year of life was 61%, whereas the incidence in the second half year of life only decreased by 42% (Netherlands Central Bureau of Statistics) (table 5).

It should be remembered that cot death (notified as sudden death) is not limited to the first year of life, but also occurs in the second year (ICD 798-1). In the Netherlands this accounts for about 5% of all cot deaths of infants in the first two years (Netherlands Central Bureau of Statistics).

BIRTH ORDER

Most studies on cot death report the phenomenon that first born infants show a lower incidence of cot death than infants with a higher birth order. The same is true for the Netherlands: in recent studies of cot death in the Netherlands over the period 1985–91 only 36% of 308 cot death infants were first born, in contrast with 44% in the total population.4

BIRTH WEIGHT

In the same Dutch studies the birth weight of 46 (15%) of the 308 cot death infants was less than 2500 g, in contrast with 6% of all infants born in the Netherlands in these years. In the case control study a low birth weight increased the risk of cot death, all other factors being equal, by about 1.5 times for every 500 g less weight.4

Discussion

The preponderance of boys puts to sleep in a prone position, reported in all six surveys of sleeping positions in the Netherlands, is an unexplained surprise. Is there any hidden reason, any psychological ground for such discriminating behaviour of care givers? We are unaware of comparable data in other countries.

The influence of a higher birth rank number on a prone sleeping position is only seen after 1987 and thus could be ascribed to parents’ ‘previous practice’: parents who had already given care to one or more infants who were usually put to sleep in a prone position are less inclined to put their next infant in a non-prone sleeping position than parents with their first infant, who are more open to new advice on baby care.

The change in sleeping habits in the Netherlands is not simple to explain. Most professionals (paediatricians and nurses) were reluctant to accept the statistical conclusions; criticism was published in a report of the Dutch Paediatric Association. The policy of the Nationale Kruisvereniging, however, was a good stimulus, supported by the experience of the anonymous collective of grandmothers used to a non-prone position, and resulted in a marked, progressive decrease in prone sleeping position in infancy. Eventually many doctors were more impressed by the decrease in the incidence of cot death (though there was only supportive evidence) than by a statistical analysis of epidemiological data.

As with traffic accidents, most cases of sudden and unexpected death during a sleep period in infancy are caused by a combination of factors. External factors which have been clearly shown to be potential causal factors are a prone sleeping position,11 maternal smoking,13 respiratory infections, use of duvets and overheating,1516 the drug promethazine,17 parental intake of alcohol combined with overlying,18 and maternal cocaine and heroin abuse.19 Other possible factors include lack of supervision,10 abnormal stress and fatigue, and intestinal infection by toxigenic Escherichia coli21 or Clostridium botulinum.22 Some internal factors and prenatal or perinatal influences are also suspected. Among the potential causal factors, however, the relative risk of a prone sleeping position is relatively high (between about three and six).

A simultaneous decrease in the prevalence of a prone sleeping position and the incidence of cot death is now seen in all regions and countries with sufficient experience. It must be stressed, however, that a simultaneous decrease in two phenomena is not proof of a causal relation, but at most a welcome confirmation. In support of this, however, over the period 1985–91 none of the other potential risk factors (passive smoking, respiratory infections, use of duvets and overheating, promethazine, or lack of supervision) changed favourably in the Netherlands.

As the Dutch national campaign to discourage a prone sleeping position started in October 1987, a nationwide evaluation over the first four years was possible (in July 1991 Australia, in November 1991 the United Kingdom, and in May 1992 the USA followed the Dutch lead). When the national surveys of sleep position and the statistics of cot death in the years 1985 up to and including 1991 are compared, the following conclusions can be drawn.

Firstly, the continuing decrease in the incidence of cot death parallels the continuing decrease in the prone sleeping position as
shown in a series of national surveys. Secondly, the incidence of cot death decreased more for infants in the first six months than for older infants, an anticipated difference as older infants often chose their own sleeping position and the parental choice of a non-prone position has less influence. Thirdly, the consistent, remarkable observation that in the Netherlands more boys than girls are placed prone to sleep 'explains' half of the high sex ratio for cot death. Fourthly, the consistent finding that over the period 1987–92 more higher birth order infants than first born infants were placed prone to sleep suggests that this aspect of baby care might have enhanced the generally stated relatively high incidence of cot death for these higher birth order infants; their higher risk of respiratory infections is probably of additional influence. Finally, the higher prevalence of a prone sleeping position among low birthweight infants after discharge from hospital probably has some additional unfavourable impact on their high incidence of cot death.

Whether a further decrease in the use of the prone sleeping position will be followed by a further decrease in the incidence of cot death in the Netherlands remains to be seen.

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