Changes in pulmonary circulation in severe bronchopulmonary dysplasia

A Bush, C M Busst, W B Knight, A A Hislop, S G Haworth, E A Shinebourne

Abstract

Eight patients with severe bronchopulmonary dysplasia underwent cardiac catheterisation. Seven had a pulmonary vascular resistance >3 mm Hg.l-1 min.m-2 (mean 8.9, range 2.2-13.8). All had raised intrapulmonary shunts (mean 25-6%, range 5-4-50%, normal <5%). Two had a high alveolar dead space, and two had unsuspected congenital heart disease. Epoprostenol (prostacyclin), but not 100% oxygen, caused a significant fall in pulmonary vascular resistance. Death was associated with a high pulmonary vascular resistance and a high shunt. Morphometric studies in three cases showed normal numbers of airways, but increased thickness of bronchial muscle. The numbers of alveoli were reduced and the walls thickened. There was increased medial thickness in small pulmonary arteries with distal extension of muscle. In the oldest child some vessels were obliterated by fibrosis. We speculate that measurements of pulmonary vascular resistance and shunt may have prognostic value; that a trial of pulmonary vasodilators other than oxygen might be worthwhile in patients with poor prognosis; and that abnormalities of the pulmonary circulation contribute to the difficulties of managing patients with bronchopulmonary dysplasia.

Patients and methods

We studied eight infants who met the standard clinical diagnostic criteria for bronchopulmonary dysplasia, namely a respiratory disorder beginning with acute lung injury in the first two weeks of life; at least 28 days postnatal age; and appreciable clinical (tachypnoea, intercostal retraction), radiographic (hyperinflation, cystic areas, fibrotic strands), and functional (arterial oxygen pressure (PaO2) <8 kPa (60 mm Hg) or carbon dioxide pressure (PaCO2) >6 kPa (45 mm Hg) while breathing air) abnormalities. These patients were referred consecutively from other hospitals during a three year period. The indication for referral was failure to improve clinically. Monitoring and treatment with oxygen, digoxin, and diuretics had been decided by the referring paediatricians and varied among the different referring centres. No patient had systemic hypertension.

Informed consent by proxy was obtained from the parents in each case, and the procedures were approved by the Brompton Hospital ethics committee.

PHYSIOLOGICAL STUDIES

Full details of the methods have been published elsewhere. Briefly, the infants were studied while they were anaesthetised, paralysed, and ventilated. We measured cardiac output by the direct Fick principle, and calculated pulmonary vascular resistance, wasted ventilation (alveolar dead space), and wasted perfusion (anatomical shunt). We assessed the fall in pulmonary vascular resistance in response to administration of 100% oxygen and to prostacyclin given intravenously.

For the calculations of cardiac output, oxygen consumption was measured by a mass spectrometer (MGA 200) using the steady state, argon dilution method. Blood oxygen contents were calculated from measured PaO2, pH, and base excess (Corning 170 blood gas analyser), using Kelman's subroutine and assuming the solubility of free oxygen in blood to be 0.003 ml/100 ml/mm Hg. The mass spectrometer was also used to monitor end tidal gases to ensure a respiratory steady state was maintained throughout the study. End tidal PCO2 was calculated as the mean of at least eight measurements, which had to differ by less than 0.5 kPa (3-8 mm Hg).

Intravascular pressures were measured with fluid filled catheters introduced percutaneously into the femoral artery and vein and screened into position in the aorta and pulmonary artery, respectively. Pulmonary vascular resistance was calculated as (mean pulmonary artery...
pressure—mean left atrial pressure/cardiac index. Pulmonary capillary wedge pressure was substituted for left atrial pressure where this could not be measured directly. In all cases this was less than 10 mm Hg, and did not change appreciably during the study. We also calculated the percentage of the alveolar dead space—that is, that part of the alveolar tidal volume (tidal volume minus the anatomical dead space) that reached alveoli which were not perfused—an index of wasted ventilation. The calculation is based on the Bohr equation, which gives percentage alveolar dead space equal to [(1 - end tidal PCO₂/arterial PCO₂ ×100]. Wasted perfusion (anatomical shunt on 100% oxygen) was calculated from the shunt equation ([Cc'O₂ - CsaO₂]/[Cc'O₂ - CpaO₂])%, where Cc'O₂, CsaO₂, CpaO₂ are the blood oxygen contents of pulmonary end capillary, aortic, and mixed venous blood, respectively. Pulmonary end capillary PO₂ was assumed to be within 1-3 kPa (10 mm Hg) of alveolar PO₂, which was calculated from the measured end tidal PCO₂ using the alveolar air equation.

The initial measurements were made when the infants were ventilated on air, or the lowest inspired oxygen concentration that was considered safe. Respiratory steady state was confirmed by monitoring end tidal gases. The patients were then switched to 100% oxygen, and the measurements repeated after not less than 10 minutes ventilation. All other ventilator settings were constant during the study. Adequacy of alveolar nitrogen washout (mixed expired nitrogen <1%) was confirmed using the mass spectrometer. If the pulmonary vascular resistance on 100% oxygen was appreciably raised (>4 units), prostacyclin was given by continuous infusion into a peripheral vein, initially at a dose of 5 ng/kg/min. The measurements were repeated at the end of five minutes. The dose was then increased by steps of 5 ng/kg/min, and measurements repeated after five minutes, until either a dose of 20 ng/kg/min had been reached, or mean aortic pressure had fallen by 20 mm Hg. Finally the infusion was stopped, and diagnostic angiography carried out. We did not perform pulmonary wedge angiograms.

### PATHOLOGICAL STUDIES ON THE LUNG

Lung tissue was obtained at necropsy from cases 2, 3, 6, and 8. The initial necropsies were carried out at the referring hospitals, and no special preparation of the lungs was undertaken. The normal values were also taken from lung tissue that had not undergone special preparation. In each case at least four blocks of tissue were taken from different parts of the lung, and 4 μm paraffin embedded sections were stained with haematoxylin and eosin, periodic acid Schiff's reagent, and Miller's elastic stains counterstained with Geison's stain. Microscopically, the diameter and thickness of the muscle wall of the respiratory unit arteries and peripheral veins were measured with an eyepiece graticule. Percentage arterial wall thickness was calculated as: (twice the wall thickness/external diameter). The extension of muscle along the arterial pathway was determined. For the airways, the diameter of small bronchi and bronchioli was measured. The amount of bronchial wall muscle was estimated by planimetry using the Kontron MOP Videoplan image analyser, and expressed for each airway as area in mm²/mm length of lumen. The number of alveoli in the acinar region was estimated by calculating the Emery count.

### Results

There were four boys and four girls, mean age 12 months, range 10 weeks to 3 years (table 1). At the time of cardiac catherisation, cases 1-7 were being treated for cor pulmonale, which had been diagnosed on clinical grounds. All were receiving diuretics (frusemide and spironolactone) and cases 1-3 and case 5 were receiving digoxin. There was only one long term survivor; cases 1, 2, 6, and 7 died of progressive cardiorespiratory failure associated with presumed bronchopulmonary sepsis. In case 1, Candida albicans was isolated from the nasopharyngeal aspirate. In the other infants the diagnosis was a clinical one, but no pathogenic organisms were isolated. Case 3 died after aspirating a feed, and case 4 died in the intensive care unit of intractable pulmonary hypertension. The deaths were attributed to the complications of bronchopulmonary dysplasia, not to the haemodynamic studies. Case 8, whose main problem was congenital heart disease, had surgical correction of the anomalous vena cava, but died after a stormy postoperative course complicated by recurrent pulmonary oedema, infection, and renal failure.

### Table 1: Details of patients studied

<table>
<thead>
<tr>
<th>Case No</th>
<th>Age (years)</th>
<th>Sex</th>
<th>Weight (kg)</th>
<th>Fractional inspired oxygen concentration</th>
<th>Electrocardiographic findings</th>
<th>Echocardiographic findings</th>
<th>Type of ventilation</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>Female</td>
<td>3.7</td>
<td>Air</td>
<td>Right ventricular hypertrophy</td>
<td>Right ventricular hypertrophy</td>
<td>Spontaneous</td>
</tr>
<tr>
<td>2</td>
<td>0.5</td>
<td>Female</td>
<td>4.6</td>
<td>Low flow oxygen</td>
<td>Right ventricular hypertrophy</td>
<td>Right ventricular hypertrophy</td>
<td>Spontaneous</td>
</tr>
<tr>
<td>3</td>
<td>3</td>
<td>Female</td>
<td>8.7</td>
<td>Air</td>
<td>Right ventricular hypertrophy</td>
<td>Right ventricular hypertrophy</td>
<td>Spontaneous</td>
</tr>
<tr>
<td>4</td>
<td>0.5</td>
<td>Male</td>
<td>3.6</td>
<td>1.0</td>
<td>Right ventricular hypertrophy</td>
<td>Right ventricular hypertrophy</td>
<td>Intermittent positive pressure</td>
</tr>
<tr>
<td>5</td>
<td>0.8</td>
<td>Male</td>
<td>6.3</td>
<td>Low flow oxygen</td>
<td>Right ventricular hypertrophy</td>
<td>Right ventricular hypertrophy and venous septic defect</td>
<td>Spontaneous</td>
</tr>
<tr>
<td>6</td>
<td>0.2</td>
<td>Male</td>
<td>2.3</td>
<td>0.6</td>
<td>Normal</td>
<td>Normal</td>
<td>Intermittent positive pressure</td>
</tr>
<tr>
<td>7</td>
<td>0.2</td>
<td>Male</td>
<td>3.7</td>
<td>0.3</td>
<td>Normal</td>
<td>Normal</td>
<td>Spontaneous</td>
</tr>
<tr>
<td>8</td>
<td>1</td>
<td>Female</td>
<td>7.9</td>
<td>Air</td>
<td>Normal</td>
<td>Normal</td>
<td>Spontaneous</td>
</tr>
</tbody>
</table>

*Bush, Busst, Knight, Hislop, Haworth, Shinebourne*
PHYSIOLOGICAL STUDIES
The individual results are plotted in figs 1–3. For the baseline measurements, mean (SD) PaO₂ was 6.61 (3.04) kPa and PaCO₂ was 4.45 (0.83) kPa. All patients had raised anatomical shunts (mean 25–6%, range 5.4–50.0%, normal 5%). Two patients had high alveolar dead space, 26% and 30%, respectively, the normal being 5%. Seven patients had raised pulmonary vascular resistance (mean 8.8 mm Hg l⁻¹ min⁻¹ m⁻² range 2.2–13.8, the normal being <3 units).

There were cardiac structural lesions in two patients. Case 5 had a small ventricular septal defect, which was haemodynamically unimportant. Case 8 had anomalous drainage of the superior vena cava into the left atrium, which almost totally accounted for the systemic arterial desaturation.

When switched to 100% oxygen, ventilation was comparable (mean (SD) PaCO₂ = 4.43 (1.25) kPa) and PaO₂ rose to 25.51 (17.71) kPa. Mean pulmonary artery pressure showed little change except in case 3, in whom it fell by 18 mm Hg. Cardiac output and pulmonary vascular resistance rose in two patients and fell in three others when they were given 100% oxygen. Group mean pulmonary vascular resistance was unchanged (8.8 (4.2)). When prostacyclin was added, ventilation remained comparable (PaCO₂ = 4.56 (1.47)). Cardiac output rose in five of six patients and pulmonary vascular resistance fell in all patients. The changes in PaO₂ are shown in fig 4. All patients showed rises when given 100% oxygen, but in two patients there were marked falls when they were given prostacyclin. These falls did not affect the blood oxygen content significantly, because the starting PaO₂ was so high.

The group data are summarised in figs 5 and 6. These results were prepared by removing the baseline variation and expressing the results as the percentage change over the measurement when breathing air. We selected the dose of prostacyclin that caused the maximum reduction in pulmonary vascular resistance. The values of the other variables at this dose of prostacyclin were then compared with the baseline.
vascular arterial oxygen pressure (PaO₂) with 100% oxygen (O₂) and prostacyclin. The numbers correspond to the case numbers in table 1. The baseline for cases 6 and 7 was not air, but fractional inspiratory oxygen of 0-6 and 0-3, respectively.

Figure 4 Individual changes in systemic arterial oxygen pressure (PaO₂) with 100% oxygen (O₂) and prostacyclin. The numbers correspond to the case numbers in table 1. The baseline for cases 6 and 7 was not air, but fractional inspiratory oxygen of 0-6 and 0-3, respectively.

Figure 5 Percentage changes over baseline (vertical axis) in systemic haemodynamics with 100% oxygen (O₂) and the dose of prostacyclin resulting in the lowest pulmonary vascular resistance. There was no significant change in pulmonary artery pressure; cardiac output rose, and pulmonary vascular resistance fell when prostacyclin was given. These results are from the five patients with complete data for all doses of prostacyclin (case numbers 1-3, 5, and 6). Bars indicate mean (SEM) and significant differences are indicated.

Pulmonary arterial pressure Cardiac output Pulmonary vascular resistance

Prostacyclin Prostacyclin Prostacyclin

Figure 6 Percentage changes over baseline (vertical axis) in systemic haemodynamics with 100% oxygen (O₂) and the dose of prostacyclin resulting in the lowest pulmonary vascular resistance. There was no significant change in aortic pressure: systemic vascular resistance fell, and heart rate rose, when prostacyclin was given. These results are from the five patients with complete data for all doses of prostacyclin (case numbers 1-3, 5, and 6). Bars indicate mean (SEM) and significant differences are indicated.

Aortic pressure Systemic vascular resistance Heart rate

Prostacyclin Prostacyclin Prostacyclin

P<0.05

1 2 3 4 5 6

Air O₂ Air O₂ Air O₂ Air O₂

Prostacyclin Prostacyclin Prostacyclin

PATHOLOGICAL STUDIES

In cases 2, 3, and 6 the structure of the peripheral airways and alveoli was abnormal, the alveoli varying in size and shape. The alveolar walls were thickened with an excess of collagen and elastin, and in cases 2 and 3 capillary density seemed to be increased. In all three cases, an Emery count indicated a reduction in alveolar number (table 2). In the oldest, aged 3 years, the alveolar count was similar to the normal value at birth. The peripheral airways were of normal size for age, but the amount of bronchial smooth muscle was increased. There were no areas of extensive fibrosis. In the vasculature, pulmonary arterial smooth muscle was increased, as shown by a significant increase in mean percentage arterial medial thickness and by extension of muscle into more peripheral arteries than normal so that most of the alveolar wall arteries were completely muscularised (table 2, fig 7). Only the oldest case showed intimal proliferation, with hyalination of the
Figure 7 Photomicrograph of necropsy lung tissue from case 3 stained with Miller's elastic stain and von Gieson's stain magnification 5720. A muscular pulmonary artery (wall thickness 30%) is seen at the junction of alveoli. Abbreviations: cap = capillary, al = alveolus, and c = collagen.

media in some vessels. Cases 3 and 6 showed an increase in vein wall thickness with 'arterialisation'20 in general the changes were more severe with age, but the numbers are too small for statistical analysis.

Case 8, who had an anomalous insertion of the left superior vena cava to the left atrium, was thought to have severe bronchopulmonary dysplasia before the haemodynamic study. At necropsy, however, she had none of the pathological features. The Emery count indicated that the number of alveoli was within normal limits and the airways were of normal size and muscularity (table 2). The significant increases in pulmonary arterial and vein wall thickness were compatible with the cardiac anomalies present in this child.

Discussion
We have reported eight patients who were sent to a tertiary referral centre with a diagnosis of severe bronchopulmonary dysplasia. One patient (case 8) was cyanosed because of a cardiac structural lesion, and histology of the lung confirmed only minor changes of bronchopulmonary dysplasia. Similar diagnostic difficulties have been reported by others,9 11 and it may be difficult to assess clinically the relative severity of heart and lung disease. This patient is not discussed further. The main findings in the other seven patients were increases in pulmonary vascular resistance (n=7), anatomical shunt (n=2), and alveolar dead space (n=2).

In this selected group of patients, prostacyclin was a better pulmonary vasodilator than oxygen. The patients with pulmonary vascular resistance of greater than 7 units, or anatomical shunt of more than 30%, or both (n=6), died from their disease. Quantitative histology (n=3) showed reduced numbers of alveoli with thickened walls. There was increased medial muscle thickness in small pulmonary arteries, and distal extension of smooth muscle. The changes tended to be more severe with increasing age and pulmonary vascular resistance, although there were too few infants for statistical testing.

The physiological study compared the pulmonary vascular reactivity to oxygen and prostacyclin. We chose prostacyclin because we had previously shown it to be a safe pulmonary vasodilator in children with congenital heart disease.16 Oxygen has been reported to lower pulmonary vascular resistance in some but not all patients with bronchopulmonary dysplasia.16 11 In our selected group of patients, oxygen had no acute effects on pulmonary haemodynamics, whereas prostacyclin caused a pronounced fall in pulmonary vascular resistance. Other workers have reported falls in pulmonary vascular resistance in patients with bronchopulmonary dysplasia given hydralazine.12 We recommend extreme caution, however, if prostacyclin or other bloodborne pulmonary vasodilators are to be used. Case 4 was unable to tolerate a dose higher than 10 ng/kg/min because of systemic hypotension. Prostacyclin caused a fall in systemic vascular resistance in all patients, and a fall in PaO2 in two. Hydralazine may also cause adverse reactions in patients with bronchopulmonary dysplasia.12 Under controlled conditions, bloodborne pulmonary vasodilators may be useful adjuncts to management, and possibly allow reductions in inspired oxygen tension, one of the major aetiological factors in bronchopulmonary dysplasia.

The structural study showed that in three cases the abnormalities of the airways consisted of a failure of alveolar multiplication with an increase in both the amount of bronchial smooth muscle, and in the amounts of collagen and elastin within the alveolar walls. These abnormalities of airways were no more severe,

Table 2 Results of pathological studies

<table>
<thead>
<tr>
<th>Case No</th>
<th>Age at death (years)</th>
<th>Percentage arterial wall thickness</th>
<th>Percentage vein wall thickness (50–100μ)</th>
<th>Emery count</th>
<th>Bronchial muscle (mm/mm length of lumen)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>&lt;100μ</td>
<td>≥100μ</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>1·3</td>
<td>17.4***</td>
<td>22.9***</td>
<td>5·0</td>
<td>0·005</td>
</tr>
<tr>
<td>3</td>
<td>3·5</td>
<td>31.2***</td>
<td>24.3***</td>
<td>6·6*</td>
<td>0·007</td>
</tr>
<tr>
<td>4</td>
<td>0·6</td>
<td>15·3***</td>
<td>9·4***</td>
<td>5·9*</td>
<td>0·013***</td>
</tr>
<tr>
<td>8</td>
<td>2·0</td>
<td>13·4***</td>
<td>9·4***</td>
<td>7·4</td>
<td>0·003</td>
</tr>
</tbody>
</table>

Normal values:
- Babies born at full term aged 0–24 hours

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
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<tbody>
<tr>
<td>24·9**</td>
<td>14·7**</td>
</tr>
<tr>
<td>24·9**</td>
<td>14·7**</td>
</tr>
<tr>
<td>9·6*</td>
<td>2·9*</td>
</tr>
<tr>
<td>9·6*</td>
<td>2·9*</td>
</tr>
</tbody>
</table>

*p<0·05; **p<0·01; ***p<0·001 compared with normal range.

A Hislop and S G Haworth, unpublished observations.
however, than those reported, in a previous series of children who also had neonatal respiratory distress and who subsequently did not develop cor pulmonale.\textsuperscript{21} This difference in natural history is not explained by bronchoalveolar changes alone. Neither group of patients had the extensive fibrosis described in the classic accounts of bronchopulmonary dysplasia.\textsuperscript{1,22} Such severe damage is now rarely seen, probably because of improvements in methods of mechanical ventilation.

Cor pulmonale is usually associated with alveolar hypoxia in adults and children, but in our cases failure of alveolar development was probably an important additional factor. In normal children new respiratory unit arteries develop after birth as the alveoli multiply.\textsuperscript{23} Failure of alveolar development therefore leads to a reduction in the number of peripheral arteries, which would predispose to the development of cor pulmonale. Other predisposing factors include a pronounced reduction in diameter of the lumens by excessive muscularisation of small precapillary arteries, the probable obliteration of some alveolar wall arteries by fibrosis, and possibly also capillary distortion by abnormally shaped alveoli.

There are few published data correlating pulmonary haemodynamics with lung morphology in infants with bronchopulmonary dysplasia.\textsuperscript{1} A single premature infant with supra-systemic pulmonary artery pressures had a hypoplastic pulmonary circulation, but the clinical course had not been typical of bronchopulmonary dysplasia.\textsuperscript{24} A large pathological study confirmed that hypertensive pulmonary vascular disease is common in bronchopulmonary dysplasia,\textsuperscript{25} but no haemodynamic data were available. In contrast to our study and to the findings of others,\textsuperscript{25,26} one group reported increased numbers of small pulmonary arteries with medial wall thicknesses that were less than normal\textsuperscript{27}; we cannot account for these discrepancies.

Although ours is a small series, the severity of the pulmonary arterial changes tended to increase with age, as shown by the progressive increase in pulmonary vascular smooth muscle, and the presence of some hyalinated arteries in the oldest child. Correlation between structure and function is difficult because of the small number of cases and the relatively long time interval (up to nine months) between cardiac catheterisation and death in these young patients. The wasted perfusion might be the result of collagen deposition in the alveolar walls, and possibly also in the blood gas barrier.\textsuperscript{28} Wasted ventilation could be attributed to a reduction in peripheral pulmonary arterial number and the diameters of the lumens.

These data were obtained from a highly selected subgroup of patients with bronchopulmonary dysplasia, but may have implications for the outpatient treatment of less severely affected patients. The current mainstay of treatment is domiciliary oxygen.\textsuperscript{29,30} "Treatment with an oral pulmonary vasodilator would be much more convenient than low flow oxygen. Theoretically it may even be safer, in that there is some evidence that even modest increases in inspired oxygen tensions may be toxic.\textsuperscript{31,32} Any fall in PaO\textsubscript{2} would be dangerous, however, in patients who have arterial hypoaxaemia while breathing air, because a large fall in blood oxygen content would result. Possibly the combination of low flow oxygen and an oral vasodilator might be more useful than either alone. If they are to affect the natural history of the disease appreciably, however, they would probably have to be given much earlier, before the pulmonary circulation became irreversibly damaged. Any such treatment should be the subject of a carefully controlled trial before it could be recommended for general use.

To summarise, we have reported the pulmonary circulatory abnormalities in eight patients referred with a clinical diagnosis of bronchopulmonary dysplasia. In this selected group, pulmonary vascular disease showed itself pathologically by an increased pulmonary vascular resistance and by disturbances of ventilation to perfusion mismatch. The increases in pulmonary vascular resistance were reduced more by a bloodborne vasodilator, prostacyclin, than by 100% oxygen. In these patients the morphological counterpart was failure of alveolar multiplication and consequent hypoplasia of the pulmonary circulation, extensive muscularisation of precapillary arteries and peripheral veins, and some obliteration of vessels by fibrosis in case 6. Most of these developmental changes were probably irreversible, although a reduction in muscularity is possible. The reason why some patients develop severe pulmonary vascular disease secondary to bronchopulmonary dysplasia is not clear. We suggest that cardiac catheterisation should be carried out more readily in patients with bronchopulmonary dysplasia. It is important to exclude cardiac structural lesions, and hyperinfarction may make echocardiography difficult. Cardiac catheterisation may also help to choose the most effective treatment for the individual patient. In addition, we need to increase our understanding of the role of the pulmonary circulation in the pathogenesis of this condition.

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10 Abraham SH, Wolfe RR, Accurso FJ, Koeps BL, Bowman...
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