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# Evidence-based approaches to childhood stunting in low and middle income countries: a systematic review

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## ABSTRACT

**Objective** We systematically evaluated health and nutrition programmes to identify context-specific interventional packages that might help to prioritise the implementation of programmes for reducing stunting in low and middle income countries (LMICs).

**Methods** Electronic databases were used to systematically review the literature published between 1980 and 2015. Additional articles were identified from the reference lists and grey literature. Programmes were identified in which nutrition-specific and nutrition-sensitive interventions had been implemented for children under 5 years of age in LMICs. The primary outcome was a change in stunting prevalence, estimated as the average annual rate of reduction (AARR). A realist approach was applied to identify mechanisms underpinning programme success in particular contexts and settings.

**Findings** Fourteen programmes, which demonstrated reductions in stunting, were identified from 19 LMICs. The AARR varied from 0.6 to 8.4. The interventions most commonly implemented were nutrition education and counselling, growth monitoring and promotion, immunisation, water, sanitation and hygiene, and social safety nets. A programme was considered to have effectively reduced stunting when  $AARR \geq 3\%$ . Successful interventions were characterised by a combination of political commitment, multi-sectoral collaboration, community engagement, community-based service delivery platform, and wider programme coverage and compliance. Even for similar interventions the outcome could be compromised if the context differed.

**Interpretation** For all settings, a combination of interventions was associated with success when they included health and nutrition outcomes and social safety nets. An effective programme for stunting reduction embraced country-level commitment together with community engagement and programme context, reflecting the complex nature of exposures of relevance.

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## INTRODUCTION

Stunting<sup>1</sup> is the most common manifestation of chronic undernutrition during childhood in low and middle income countries (LMICs). It has been associated with increased risk of morbidity and

## What is already known on this topic?

- A combination of nutrition-specific and nutrition-sensitive interventions appears necessary in order to optimise programmes to reduce stunting.

## What this study adds?

- Nutrition education and counselling, growth monitoring and promotion, immunisation, water, sanitation and hygiene and social safety net programmes appear to be the most commonly included interventions of an effective package in most low and middle income countries settings.
- Single interventions reduced stunting only in countries with specific disease burden.
- Intervention worked best when country, community and programme context were taken into account.

mortality and impacts negatively on both physical growth and cognitive development; as well as increased risk of obesity and other metabolic complications in later life. Separately and together these lead to lower economic productivity, and constrained social function.<sup>2</sup> Thus, stunting appears a direct impediment towards achieving the sustainable development goals.

The most vulnerable period for the establishment of lifelong stunting is the first 1000 days from conception. Thereafter, it appears increasingly difficult to reverse adverse influences ultimately leading to adults of shorter stature.<sup>3</sup> In targeting the first 1000 days, both global movements and regional programmes have sought to take nutrition interventions to scale by supporting nutrition governance.<sup>4</sup> However, these initiatives appear less successful than anticipated in achieving the desired reduction in stunting in LMICs.<sup>5</sup>

Globally, stunting has decreased at approximately 1.8% per year.<sup>6</sup> indicating that it will only decrease by 18% in the next 10 years, failing to achieve the goal set by the World Health Assembly.<sup>7</sup> This is consistent with data suggesting that scaling up



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**Figure 1** Definition of nutrition-specific and nutrition-sensitive interventions and programmes (adapted from Ruel and Alderman<sup>9</sup>).

#### Nutrition-specific interventions and programs

- a) Health and nutrition during adolescence, preconception, pregnancy and lactation
- b) Maternal dietary or micronutrient supplementation
- c) Promotion of optimum breastfeeding
- d) Complementary feeding and responsive feeding practices and feeding stimulation
- e) Dietary supplementation, food diversification and micronutrient supplementation or fortification for children
- f) Treatment of severe acute malnutrition
- g) Disease prevention and management
- h) Nutrition in emergencies

#### Nutrition-sensitive interventions and programs

- a) Agriculture and food security
- b) Social safety nets
- c) Early child development
- d) Maternal mental health
- e) Women's empowerment
- f) Child protection
- g) Schooling
- h) Water, sanitation and hygiene
- i) Health and family planning services

existing nutrition-specific interventions would reduce stunting by about 20%.<sup>8</sup> A combination of nutrition-specific and nutrition-sensitive interventions appears necessary in order to optimise reductions in stunting (figure 1).<sup>9</sup>

This review sought to identify studies from LMICs where combined programmatic interventions have been evaluated. It identifies the programmes and the components of nutrition interventions in which there had been demonstrable success leading to a reduction in stunting. In addition, we sought to determine correlates of success among programmes for particular contexts and settings by using the realist approach. The purpose was to provide a synthesis of the available evidence that could assist policy makers and donors in prioritising the use of resources for implementation of interventions to prevent and control stunting in resource limited settings by considering of a mix of factors for the country, community and programme contexts.

## METHODS

### Data sources

A comprehensive search strategy was designed a priori and applied to electronic bibliographic databases, including Medline (Pub Med), WHO Regional databases, Google's Scholar databases and the Cochrane Library with specific key words/concepts: 'stunting', 'linear growth failure', 'stunting reduction programme', 'intervention', 'approach' and 'low and middle income countries' (see online supplementary appendix 1). The search was limited to literature published between 1 January 1980 and 31 March 2015. MeSH headings were used where available. Published and unpublished references and grey literature sources were searched electronically and manually.

### Study eligibility criteria

This review considered all studies involving human subjects under 5 years of age (0–59 months), published in the English language. It had a focus on public health programmes that implemented nutrition-specific and nutrition-sensitive interventions to reduce stunting, mostly in a community-based setting<sup>9</sup> in LMIC's,<sup>10</sup> and where there were data on baseline and follow-up or end line stunting status. Studies without any components of nutrition intervention, individual randomised controlled trials (RCTs) and those in which linear growth or stunting had not been measured were excluded. The outcome of

interest was changes in stunting prevalence following a programme intervention among children under 5 years of age.

### The population, intervention, comparators and outcomes framework

Population	Intervention	Comparison	Outcome
Children aged between 0 and 59 months	Nutrition-specific and nutrition-sensitive interventions implemented either alone or in combination	Programmes other than nutrition intervention	Stunting reduction

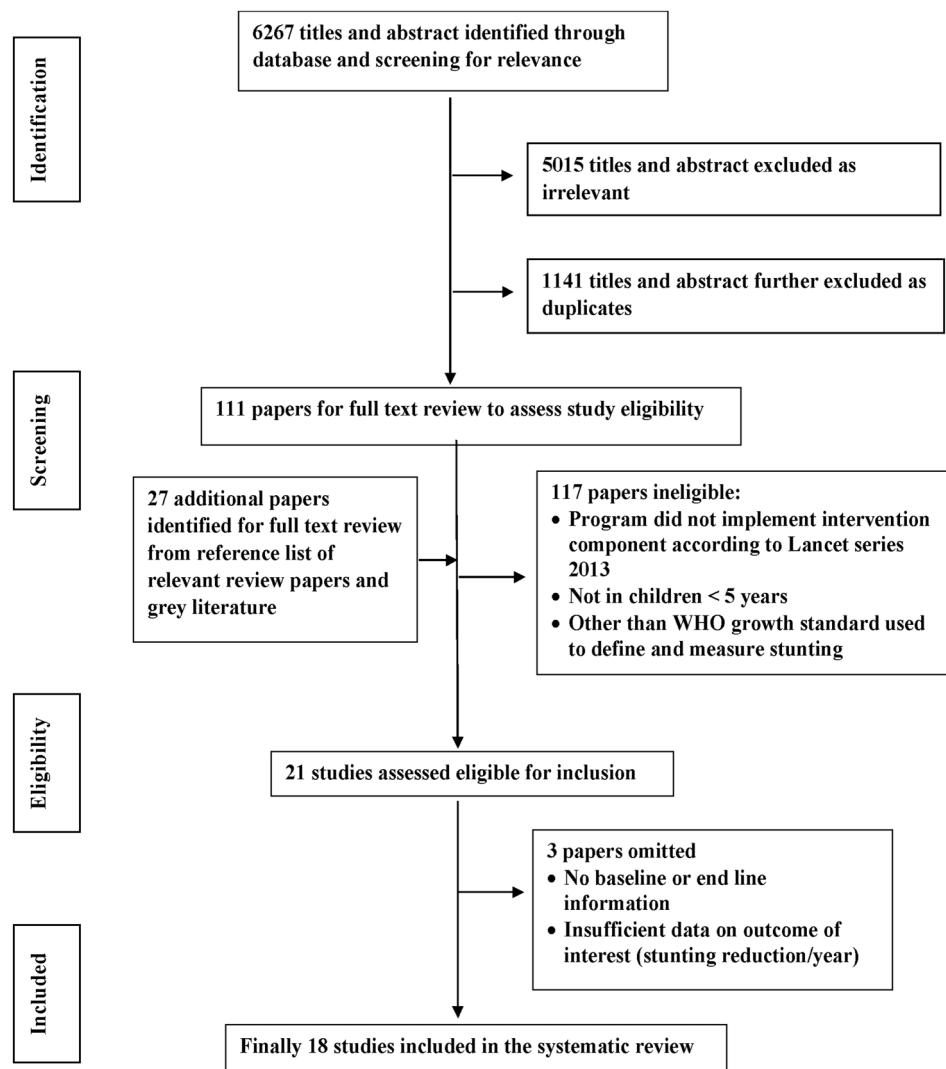
The reviewers/authors followed 'Preferred Reporting Items for Systematic Reviews and Meta-analyses (PRISMA)' checklist during this review (see online supplementary appendix 2).<sup>11</sup> Titles and abstracts were screened by two authors independently (MH and KABA). All titles and abstracts from each search were examined, matched and then the relevant articles were obtained for review (figure 2). To ensure consistency a calibration exercise was conducted. The reviewers agreed on the criteria and applied them to a sample of 20% of the retrieved studies to demonstrate adequate inter-examiner agreement ( $\kappa$ : 0.70–0.75). The reviewers read each study independently and any disagreements were resolved through discussion or, where necessary, through consultation with a third team member (PM).

### Data extraction

Two reviewers (MH and KABA) independently extracted both quantitative and qualitative data on: the number of programme components implemented, the baseline rate of stunting prevalence, the stunting prevalence following the period of the programme intervention and the rate of stunting reduction over time. They used standardised forms, checklists, note taking and annotation to compile the data from studies employing diverse interventional packages across multiple settings and geographical locations. During study selection and data extraction the reviewers were not blinded to authorship, journal of publication or the trial results.

### Methodological quality assessment

The quality of the individual studies that were included was assessed by two reviewers (MH and KABA) independently for both experimental (RCT) and observational (cross-sectional) studies. The possible risk of bias in RCT was assessed using the

**Figure 2** Flow diagram for the literature search.

Cochrane Collaboration tool<sup>12</sup> and quality assessment of cross-sectional studies was assessed with a modified version of the Newcastle-Ottawa Scale.<sup>13</sup> Judgments as to the possible risk of bias was rated as ‘high risk’ or ‘low risk’ for the extracted information for each of the six domains of RCT (see online supplementary appendix 3). The scale scores for observational studies ranged from 0 (lowest grade) to 7 (highest grade). Observational studies with scores at or above the median (equal to or greater than 5) were classified as high quality studies (see online supplementary appendix 4). Risk of bias across studies was assessed using the approach outlined by the ‘Grading of Recommendations Assessment Development and Evaluation’ (GRADE) working group.<sup>14</sup> The quality of evidence was assessed as high, moderate and low or very low (see online supplementary appendix 5), and any disagreement was resolved by discussion or where necessary by consultation with a third author.

### Data analysis

To enable comparisons to be made among the different studies, the average annual rate of reduction (AARR) was derived, as described in detail elsewhere.<sup>15</sup> For the purpose of our investigation, we considered a programme to be effective if an AARR for stunting that was equal to or greater than the median AARR. We further characterised the individual nutrition-related components of each specific programme to determine which of

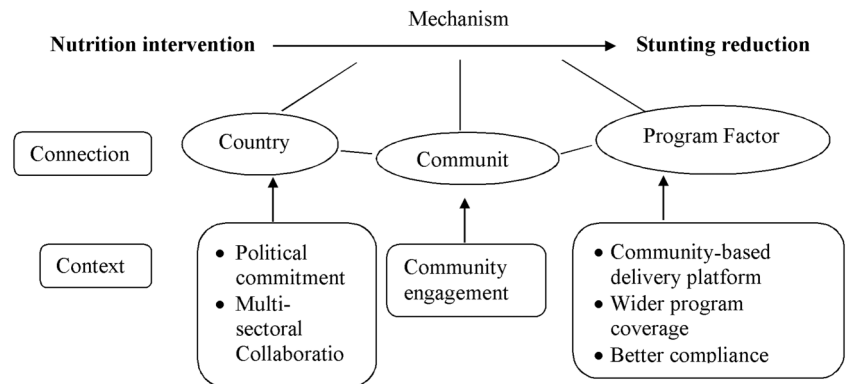
the components had been implemented in the greater number of effective programmes in order to provide an indication of the consistency with which the individual components appeared to contribute to the reduction in stunting (see online supplementary appendices 6 and 7). We applied the realist approach in assessing the programme context and underlying mechanisms which might explain the programme’s success in reducing stunting.<sup>16</sup> With further discussion and critical review of the programme evaluation reports and grey literature we identified several contextual factors of probable relevance. These were themed for the different underlying contexts into broad key concepts or connections, which were considered to capture the likely mechanism(s) behind successful programme outcome (stunting reduction) (figure 3).

### Protocol and registration

A full protocol for the study was completed by the authors prior to commencement of the study (see online supplementary appendix 8) and can be accessed at [http://www.crd.york.ac.uk/PROSPERO/display\\_record.asp?ID=CRD42016043772](http://www.crd.york.ac.uk/PROSPERO/display_record.asp?ID=CRD42016043772). Registration no: CRD42016043772.

### Role of the funding source

The funding institution had no role in the design and development, data extraction, analysis and interpretation of the data, or

**Figure 3** Realist review framework.

preparation, review, or approval of the paper. The corresponding author had full access to all the data in the study and had final responsibility for the decision to submit for publication.

## RESULTS

### Identification and selection of the literature

An initial search combining all key words yielded the titles of 6267 articles. The full text of 111 papers were screened and assessed for eligibility after removal of duplicates (based on author name, article title, year of publication and journal name) and clearly unrelated articles. A further 27 papers were identified from reference lists and the grey literature. After removal of ineligible studies, 18 papers were included in the review (figure 2). In this way, 14 programmes were identified in 19 LMICs where nutrition-specific approaches had been implemented, either alone or in combination with nutrition-sensitive interventions, to reduce stunting.

### Description of included studies and risk of bias assessment

All but one of the studies were cross-sectional. Most studies targeted children under 5 years of age living in poor households from rural areas. The programmes were implemented between 1986 and 2010, from countries in Asia, Latin America and Africa. None of the programmes enabled comparison with a true control area in which there had been no intervention. Included studies generated evidence of moderate quality (11 out of 17 included observational studies and 1 RCT) with low risk of bias (see online supplementary appendices 3–5).

### Nutrition intervention programme and stunting reduction

The effect of the different interventions in reducing stunting varied widely across the studies, with decreases in the AARR of stunting ranging from 0.6 to 8.4 (median 3) percentage points per year. Programmes with AARR of stunting of at least 3 (median AARR) from baseline were considered to have been effective. We identified seven effective programmes. In Asia, programmes in Bangladesh and Vietnam achieved AARR of stunting of 4.5%. In Latin America, Brazil demonstrated the highest AARR of 8.4%. The Millennium Villages programme in nine sub-Saharan countries achieved AARR of 7%. For successful programmes, both nutrition-specific and nutrition-sensitive interventions were combined. These were found in three out of seven programmes with interventions that included nutrition education and counselling, immunisation, growth monitoring and promotion (GMP), water, sanitation and hygiene (WASH) and social safety net (SSN) interventions (see online supplementary appendices 6 and 7). Programmes to prevent and treat malaria were implemented only in African countries

(Malawi, Niger and sub-Saharan Africa) where there was high malaria prevalence. The majority of SSN programmes were implemented in Latin American countries and targeted poor beneficiaries. The AARR in these countries varied widely (between 2.2% and 6.7%), even when the individual components for the intervention appeared similar (nutrition education and counselling, vitamin A supplementation, immunisation, WASH, food security and SSN). Programmes which recruited younger children (0–36 months) from poor rural households and which were implemented in areas with no other health programmes (Bangladesh, Peru and sub-Saharan Africa) reduced stunting more effectively than others (Ethiopia, Haiti, India, Malawi and Mexico) (table 1).

### Contextual factors behind programme outcome

A realist approach was used to examine contextual factors which were considered to be the drivers for successful programme outcome (reduction of stunting). We identified four key concepts underpinning the connection between programme intervention and outcome. This analysis suggests that a stunting reduction programme becomes effective (AARR of stunting at least 3%) where there is an evidence of strong political commitment, multi-sectoral collaboration between government, non-government, national and international organisation, active community engagement, and where the programme is delivered through community-based platforms with high coverage and compliance ( $\geq 5$  out of 7 programme). These underlying factors were clearly identified in five of seven programmes in which stunting was effectively reduced (table 2).

## DISCUSSION

### Summary of evidence

This systematic review identifies that in order to achieve success with interventions designed to reduce stunting in LMICs requires a combination of factors and components which together provide a suitable context. Nutrition education and counselling, GMP, immunisation, WASH, and SSN programmes were the components most frequently included in the intervention packages. The programmes appear most successful where strong political commitment and multi-sectoral collaboration between government, non-government, national and international organisations exist and where programmes are delivered through community service delivery platforms with active community engagement.

Although nutrition is necessary, interventions that focus solely on nutrition are likely to be insufficient in themselves for many of the global contexts where there is the need to reduce stunting. This review has shown that in most settings a combination

**Table 1** Nutrition intervention/programmes in low and middle income countries

Geographic region	Country	Author, year	Programme name	Study design	Study population and setting	Time period	Programme components	AARR	Effective programme
Asia	Bangladesh	Smith <i>et al</i> <sup>17</sup>	Strengthening household ability to respond to development opportunities (Shouhardo)	Cross-sectional surveys	Children 6–24 months, poorest household	2006–2010	Nutrition education and counselling; GMP; Vit A and IFS; immunisation; HFP; access to local health facilities; sanitation; women empowerment; PFSA; SSN	4.5	Yes
	Bangladesh	Arifeen <i>et al</i> <sup>18</sup>	Integrated management of childhood illness (IMCI) programme	Cluster randomised trial	Rural children 0–59 months	2000–2007	Nutrition education and counselling; GMP; IMCI	2.9	No
	Cambodia	Ikeda <i>et al</i> <sup>19</sup>	National nutrition programme	Cross-sectional surveys	Children 0–59 months	2000–2010	YCF; MNP; IMCI; parental education; HFP; WASH; reduction of maternal tobacco use	1.03	No
	India	Haddad <i>et al</i> <sup>20</sup>	Integrated child development services programme	Cross-sectional surveys	Children 6–24 months, poorest household	2006–2012	Nutrition education and counselling; YCF; Vit A and IFS; immunisation; IMCI; FF and FS; deworming; PFSA; SSN; HFP; women empowerment, child psychosocial stimulation; community kitchen and garden; telemedicine; WASH; Nutrition education and counselling; MNP; FS; GMP; immunisation; deworming	2.2	No
	Nepal	Bilukha <i>et al</i> <sup>21</sup>	The vita-mix-it distribution programme	Pre-post design	Bhutanese children 6–59 months, Nepal refugee camps	2007–2010	Nutrition education and counselling; MNP; FS; GMP; immunisation; deworming	4	Yes
	Vietnam	Khan <i>et al</i> <sup>22</sup>	National childhood malnutrition control programme	Cross-sectional surveys	Children 0–59 months	1990–2004	Immunisation; IMCI; PFSA; HFP; WASH	4.3	Yes
Latin America	Brazil	Lima <i>et al</i> <sup>23</sup> , Monteiro <i>et al</i> <sup>24</sup> , Victoria <i>et al</i> <sup>25</sup>	National health and nutrition programme	Cross-sectional surveys	Children 0–59 months, poor household	1986–2006	PFSA; SSN; IMCI; parental education; WASH; HFP	8.4	Yes
	Haiti	Ayoya <i>et al</i> <sup>26</sup>	National health and nutrition programme	Cross-sectional surveys	Children 0–59 months, poor household	2006–2012	YCF; Vit A and IFS; IMCI, immunisation; IMCI; FF; SSN; HFP; WASH	1.05	No
	Mexico	Rivera <i>et al</i> <sup>27</sup> , Leroy <i>et al</i> <sup>28</sup>	Oportunidades programme	Cross-sectional surveys	Children 0–24 months, poor household	1988–2006	Nutrition education and counselling; immunisation, GMP; FS and FF; SSN, women empowerment	2.9	No
	Peru	Lechtig <i>et al</i> <sup>29</sup>	The good start in life programme	Before-and-after design	Rural poor children 0–36 months	2000–2004	Nutrition education and counselling; GMP; YCF; Vit A and IFS; immunisation; FF; WASH, child psychosocial stimulation	4.3	Yes
Africa	Ethiopia	Fenn <i>et al</i> <sup>30</sup>	The child caring practices project	Cross-sectional surveys	Children 6–36 months, poor household	2004–2009	Nutrition education and counselling; YCF; MNP; IMCI; immunisations; SSN; HFP	2.42	No
	Malawi	Kalimbira <i>et al</i> <sup>31</sup>	Integrated community-based micronutrient and health programme	Cross-sectional surveys	Rural children 6–59 months, poor household	1996–2005	Nutrition education and counselling; Vit A and IFS; FF; deworming; malaria prevention and treatment; WASH; HIV testing and referral	2.4	No
	Niger	Amouzou <i>et al</i> <sup>32</sup>	Child survival programme	Cross-sectional surveys	Children 0–59 months, poor household	1998–2009	Malaria prevention and treatment	3.3	Yes
	Sub-Saharan Africa	Remans <i>et al</i> <sup>33</sup> , Pronyk <i>et al</i> <sup>34</sup>	Millennium village	Cross-sectional surveys	Rural children 0–24 months, poor household	2006–2009	Nutrition education and counselling; GMP; YCF; Vit A and IFS; MNP; immunisations; IMCI; PFSA; access to local healthcare; WASH; telemedicine; malaria prevention and treatment, SSN	6.7	Yes

AARR, average annual rate of reduction; FF, food fortification; FS, food supplementation; GMP, child growth monitoring and promotion; HFP, health and family planning services; IYCF, infant and young child feeding; IMCI, multiple micronutrient powder; PFSA, Poverty and food security alleviation; SSN, Social safety net; Vit A and IFS, vitamin A and iron-folic acid supplementation; WASH, water, sanitation and hygiene.

**Table 2** Key concepts/connections linked to programme context and outcome

Key concepts/connections	Derived from following context/theme	Programme implemented in LMIC's	No. of implemented programmes	No. of effective programme ( $\geq 3$ AARR)
Country level	Economic growth	Bangladesh, India, Brazil, Mexico, Cambodia	6	2
	Strong political will	Bangladesh, India, Nepal, Vietnam, Brazil, Haiti, Malawi, Niger, Peru, sub-Saharan Africa	10	6
	Budget allocation	India, Vietnam, Haiti, Ethiopia, Niger, sub-Saharan Africa	6	3
	Multi-sectoral collaboration	Bangladesh, Cambodia, India, Nepal, Vietnam, Haiti, Peru, Malawi, Niger, Sub-Saharan Africa	10	5
Community level	Community engagement	Bangladesh, India, Nepal, Haiti, Peru, Ethiopia, Malawi, Niger, Sub-Saharan Africa	10	5
Programme level	Community-based delivery platform	Bangladesh, India, Nepal, Haiti, Peru, Ethiopia, Malawi, Niger, Sub-Saharan Africa	10	5
	Wider programme coverage	Bangladesh, India, Nepal, Vietnam, Brazil, Mexico, Cambodia, Malawi, Niger, Sub-Saharan Africa	9	5
	Programme compliance	Bangladesh, India, Nepal, Vietnam, Brazil, Mexico, Cambodia, Malawi, Niger, Sub-Saharan Africa	9	5
	Presence of other health/nutrition programme	India, Nepal, Mexico, Ethiopia	4	1
Other	Social media involvement	Bangladesh, India, Niger	3	1
	Environment (natural calamity, disease outbreak, etc)	Bangladesh, Brazil, Ethiopia, Sub-Saharan Africa	4	3

AARR, average rate of reduction; LMICs, low and middle income countries.

of nutrition-specific and nutrition-sensitive approaches is needed for best effect. Even though combined interventional packages result in the greatest reductions in stunting (4.3–8.4 AARR), there is not necessarily a fixed combination of interventions that consistently demonstrate greatest benefit in all contexts. Thus, for Bangladesh, Peru and nine sub-Saharan African countries there was effective reduction of stunting with nutrition education and counselling, vitamin supplementation, immunisation, WASH, food security and SSN programmes. However, the same combinations of interventions were not similarly effective in Ethiopia, Haiti, India, Malawi or Mexico.<sup>20 26 28 30 31</sup> The difference may be explained by the need for more secure targeting of younger children from rural household, and also the effects of other programmes or interventions in either the same or a neighbouring community. It is clear that in addition to geographical location, the organisation, administration and delivery of the intervention, as well as the population being targeted has an effect on the overall effectiveness of the intervention. However, there may be some settings in which a dominant risk factor accounts for much of the population attributable fraction of stunting and where a simple intervention can have profound impact. For example, malaria prevention and treatment has shown significant benefit in reducing stunting in regions with high malarial burden.<sup>32–34</sup>

The available evidence would not support the suggestion that any one single intervention or fixed combination of interventions is likely to achieve universal success across all settings in assuring consistent reductions in stunting. It also became clear during our analysis that when there was a seeming difference in the effect of individual components, it was likely that the context for other related intervention components had changed, altering the overall balance of possible beneficial mechanisms. The evidence supports the suggestion that programme managers and policy makers should identify and implement context specific intervention packages by addressing all three connections (country, community and programme) to achieve effective stunting reduction. At country level, Government's strong political commitment and multi-sectoral collaboration between national and international agencies provides a high level supportive framework for the formulation and coordination of appropriate

policies,<sup>35</sup> leading to the design and implementation of suitable large scale nutrition-related programmes. At the community level, community engagement enables better community-based service delivery with wider coverage and beneficiary compliance, enabling programme level interventions to achieve greater degrees of stunting reduction.<sup>36</sup> This review supports the acknowledgement by WHO that programmes addressing the contextual factors achieved better reductions in stunting, more quickly.<sup>37</sup>

### Strengths and weaknesses of this review

There are several important strengths to this analysis. The review was carried out systematically using established PRISMA and GRADE guidelines. A realist approach was adopted in evaluating underlying factors which could account for the mechanistic basis underlying programme success. This approach further helped to structure the evidence to inform recommendations on stunting reduction in different programmes employing diverse interventional packages across multiple settings and geographies. Standardised methods were used to calculate the AARR for all countries.

The review does have limitations. We included studies published in peer-reviewed journals and may have missed important unpublished data as a result of publication bias. Studies published in languages other than English were not included, which may have resulted in language bias. Finally, because many programmes combined interventions, it was not possible to attribute the level of stunting reduction directly to the effect of any single intervention.

### CONCLUSION AND RECOMMENDATION

Programmes that combine nutrition-specific and nutrition-sensitive interventions, particularly those with strong health access and safety net components, appear to be most effective in reducing stunting in LMICs. Given the complex nature of these diverse intervention packages, strong political commitment, multi-sectoral collaboration, community-based service delivery platforms and wider programme coverage and compliance are all likely critical components of effective stunting reduction programmes. Programme managers and policy makers should

consider specific contextual factors in order to determine the most suitable combination of interventions while planning and implementing programmes to combat stunting.

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## Evidence-based approaches to childhood stunting in low and middle income countries: a systematic review

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